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International Liver Cancer Association

## A Phase 1/2 Dose Escalation and Expansion Study to Investigate the Safety Pharmacokinetics and Antitumor Activity of the Anti-PD-1 Monoclonal Antibody BGB-A317 in Subjects With Advanced Solid Tumors

J Desai<sup>1</sup>, C-J Yen<sup>2</sup>, J Peguero<sup>3</sup>, M Hou<sup>4</sup>, C Lin<sup>5</sup>, J Lee<sup>6</sup>, M Brown<sup>7</sup>, K Rau<sup>8</sup>, C Lu<sup>9</sup>, Y Bang<sup>10</sup>, J Hou<sup>11</sup>  
Y Kang<sup>12</sup>

<sup>1</sup>Medical Oncology, Peter MacCallum Cancer Centre, Melbourne, Victoria, Australia; <sup>2</sup>Institute of Hematology and Oncology, Department of Internal Medicine, National Cheng Kung University Hospital, Tainan, Taiwan; <sup>3</sup>Oncology Consultants, Houston, TX, USA; <sup>4</sup>Division of Hematology and Oncology, Chang Gung Memorial Hospital, Linkou, Taoyuan County, Taiwan; <sup>5</sup>Department of Oncology, National Taiwan University Hospital, Taipei, Taiwan; <sup>6</sup>College of Medicine, Seoul National University Bundang Hospital, Seongnam-si, Republic of Korea; <sup>7</sup>Department of Oncology, Royal Adelaide Hospital, Adelaide, Australia; <sup>8</sup>Division of Pulmonary and Critical Care Medicine, Department of Internal Medicine, Kaohsiung Chang Gung Memorial Hospital, Kaohsiung, Taiwan; <sup>9</sup>Division of Hematology and Oncology, Chang Gung Memorial Hospital, Chiayi County, Taiwan; <sup>10</sup>Clinical Trials Center, Seoul National University Hospital, Seoul, Republic of Korea; <sup>11</sup>Department of Oncology, Asan Medical Center, Seoul, Republic of Korea

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# Increased PD-1/PD-L1 Expression Occurs in Several Solid Tumor Types

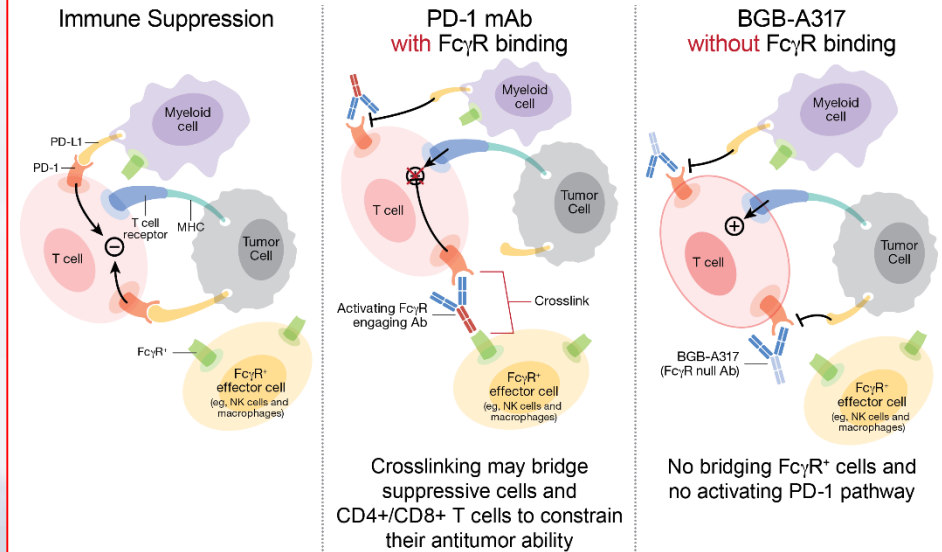
- Programmed cell death-1 (PD-1), the immune checkpoint inhibitory receptor, plays a critical role in immune modulation of tumor progression via regulation of key inhibitory signaling by ligand-bound T-lymphocytes<sup>1</sup>
- Expression of PD-1 is markedly increased in tumor-infiltrating lymphocytes and expression of the PD-1 ligand, PD-L1, is markedly upregulated in tumor cells and tumor-associated immune cells in the presence of cytokines (eg, interferons) in the tumor microenvironment<sup>2</sup>
- Increased expression of PD-1 and PD-L1 is observed in several solid tumor types including melanoma, squamous cell carcinoma, non-small cell lung cancer, head and neck squamous cell carcinoma, triple-negative breast cancer, renal cell carcinoma, hepatocellular carcinoma (HCC), bladder cancer, and ovarian cancer<sup>3-5</sup>

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# BGB-A317 is a Humanized IgG4 Monoclonal PD-1 Antibody Engineered to Minimize FcγR Binding

- Recent studies have demonstrated the antitumor activity of anti-PD-1 monoclonal antibodies across a wide range of solid tumor types<sup>1-3</sup>
- BGB-A317 was specifically engineered to minimize FcγR binding on macrophages to abrogate antibody-dependent phagocytosis, a potential mechanism of T-cell clearance<sup>4</sup>
- A Phase 1/2 open-label, dose escalation/ expansion study (NCT02407990) was initiated to investigate the safety, pharmacokinetic (PK) profile, and antitumor activity of BGB-A317 in patients with advanced solid tumors

**Figure 1: Mechanism of Action of BGB-A317**



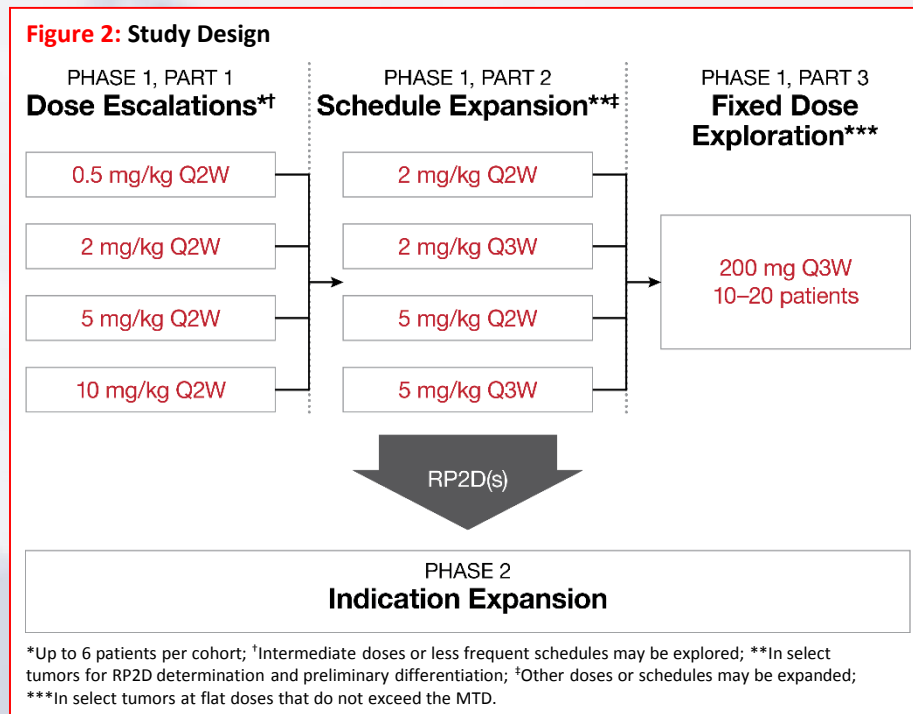
Adapted from Dahan R, et al. *Cancer Cell*. 2015;28:285-295.

**Abbreviations:** Ab, antibody; mAb, monoclonal antibody; NK, natural killer; PD-1, programmed cell death-1; PD-L1, programmed cell death-1 ligand.

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# Methods: A first-in-human, Dose-escalation/dose-expansion Study of BGB-A317 in Patients with Advanced Solid Tumors

- This ongoing, first-in-human, dose-escalation/dose-expansion study of BGB-A317 (NCT02407990) in patients with advanced solid tumors is being conducted in two phases with multiple parts
  - Phase 1, Part 1 was a dose-escalation/dose-finding component that followed a modified 3+3 design to establish the MTD
  - The Phase 1, Part 2 component evaluated the safety, tolerability, and PK profile of two BGB-A317 dosing schedules (Q2W versus Q3W)
  - Phase 1, Part 3 evaluated the safety, tolerability, and PK profile of a fixed dose of BGB-A317



# Methods: Phase 2 Dose-expansion Component to Assess the Safety/tolerability and Antitumor Activity of BGB-A317

- The Phase 2 dose-expansion component will investigate the safety/tolerability and antitumor activity of BGB-A317 in patients with selected tumor types
- Patients will be enrolled from approximately 30 centers worldwide
- As of May 2017, Phase 1 of the study has been completed with a total of 116 enrolled patients; Phase 2 is ongoing and will enroll approximately 330 patients into 1 of 9 expansion cohorts

## Objectives

- The primary objectives of Phase 1 were to assess the safety and tolerability of BGB-A317 in patients with advanced or refractory solid tumors
  - Secondary objectives of Phase 1 included the characterization of the BGB-A317 PK profile as well as determine MTD and RP2D for BGB-A317, assess BGB-A317 preliminary antitumor activity, and host immunogenicity to BGB-A317
- The primary objective of Phase 2 was to assess the antitumor activity of BGB-A317 in select tumor types
  - Secondary objectives of Phase 2 were to further characterize the safety and tolerability, and the PK profile of BGB-317



# Methods: Patient Population

- Adult patients (aged  $\geq 18$  years) with a life expectancy of  $\geq 12$  weeks who have histologically/cytologically confirmed advanced or metastatic solid tumors for which no effective standard therapy is available
- Eastern Cooperative Oncology Group performance status of  $\geq 1$
- Patients must have adequate bone marrow, liver, and renal function:
  - Absolute neutrophil count  $\geq 1500/\mu\text{L}$ ; platelets  $\geq 100,000/\mu\text{L}$  (or  $\geq 75,000/\mu\text{L}$  for patients with HCC); hemoglobin level  $\geq 9$  g/dL or  $\geq 5.6$  mmol/L; and International normalized ratio or prothrombin time  $\leq 1.5 \times$  upper limit of normal (ULN); activated partial thromboplastin time  $\leq 1.5 \times$  ULN
  - Total serum bilirubin  $\leq 1.5 \times$  ULN (or  $< 4 \times$  ULN for patients with Gilbert's syndrome); aspartate aminotransferase and alanine aminotransferase  $\leq 2.5 \times$  ULN (or  $\leq 5 \times$  ULN for patients with liver metastases or HCC)
  - Serum creatinine  $\leq 1.5 \times$  ULN
- Patients were excluded if they had a history of severe hypersensitivity reactions to other monoclonal antibodies; prior PD-1- or PD-L1-targeted therapies; history of or active autoimmune disease; active hepatitis B or hepatitis C infection (except for patients with HCC in Phase 1 or 2); requirement for systemic therapy with corticosteroids or other immunosuppressive agents within 14 days of study drug administration; and receipt of vaccinations against infectious diseases within 4 weeks of study therapy initiation or intended vaccinations within 60 days after the last dose of the study drug

# Methods: Indication-expansion Phase

- The indication-expansion component of this study will investigate BGB-A317 in patients with selected tumor types; all patients in all dose-expansion cohorts must have measurable disease per RECIST v1.1 criteria

Treatment Arm	Tumor Type*	Estimated Sample Size
Cohort 1	Non-small cell lung cancer, patients with documented epidermal growth factor receptor mutation or anaplastic lymphoma kinase rearrangement will be excluded	~50
Cohort 2	Ovarian cancer	~20
Cohort 3	Gastric cancer	~50
Cohort 4	Hepatocellular carcinoma (Barcelona Clinic Liver Cancer stage C; stage B not amenable to locoregional therapy or refractory to locoregional therapy, and not amenable to a curative treatment approach, and Child-Pugh A, without encephalopathy of any grade)	~50
Cohort 5	Head and neck squamous cell carcinoma	~20
Cohort 6	Esophageal carcinoma	~50
Cohort 7	Triple-negative breast cancer	~20
Cohort 8	Cholangiocarcinoma	~20
Cohort 9	Renal cell carcinoma, bladder cancer, Merkel-cell carcinoma, sarcoma, gastrointestinal stromal tumor, cutaneous squamous cell carcinoma, any other solid tumors with a high level of microsatellite instability, or DNA mismatch repair (eg, colorectal cancer or pancreatic cancer)	~50

# Treatment (1)

- Four dose levels were evaluated in the dose-escalation component (Part 1):
  - **Dose level 1:** 0.5 mg/kg IV BGB-A317 Q2W
  - **Dose level 2:** 2 mg/kg IV BGB-A317 Q2W
  - **Dose level 3:** 5 mg/kg IV BGB-A317 Q2W
  - **Dose level 4:** 10 mg/kg IV BGB-A317 Q2W
- Dose escalation followed a 3+3 design for a maximum of 6 patients per cohort
- Patients received  $\leq 4$  28-day treatment cycles
- If none of the first 3 evaluable patients enrolled in a given cohort experience a DLT by the end of Cycle 1, dose escalation may proceed
  - If 1 out of 6 patients experiences a DLT by the end of Cycle 1, escalation will proceed to the next higher dose level
  - No additional patients will be treated at a given dose level if  $\geq 2$  patients in a cohort develop a DLT in Cycle 1



# Treatment (2)

- A DLT was defined as a toxicity or AE occurring in the first 28-day cycle that meets one of the following criteria:
  - **Non-hematologic:**
    - Grade 5 AEs
    - Grade 3 non-hematologic AE\*
    - Grade 3 tumor flare (local pain, irritation, rash at sites of known/suspected tumor) of >7-day duration
    - Immune-related AEs Grade  $\geq 3$
    - Grade 4 laboratory abnormalities irrespective of duration
    - Grade 2 ophthalmologic toxicities
  - **Hematologic**
    - Grade 3 neutropenic infection, Grade 4 neutropenia lasting >7 days, or febrile neutropenia
    - Grade  $\geq 3$  thrombocytopenia with bleeding or Grade 4 thrombocytopenia
    - Grade 4 anemia
- **Schedule Expansion (Part 2):** Evaluated IV BGB-A317 2 or 5 mg/kg administered on a Q2W or Q3W schedule
- **Fixed-Dose Exploration (Part 3):** Patients received a fixed dose 200 mg dose of BGB-A317 administered IV Q3W
- **Phase 2:** In the indication-expansion phase, patients will receive BGB-A317 dosed either at 5mg/kg or 200 mg IV Q3W in 21-day cycles until disease progression, intolerable toxicity, or discontinuation/withdrawal

# Study Assessments and Statistical Analyses

- Safety and tolerability will be assessed by monitoring AEs (NCI-CTCAE v4.03 grade and severity) and by evaluating results from physical examinations, ophthalmologic examinations, electrocardiograms, and laboratory investigations
  - MTD and RP2D were determined based on safety, tolerability, PK parameters, preliminary efficacy and other available data
- Immunogenic response to BGB-A317 will be evaluated based on the presence of anti-drug antibody
- PK parameters include, but are not limited to:
  - Area under the concentration-time curve from 0–14 days ( $AUC_{0-14}$  days), maximum observed plasma concentration ( $C_{max}$ ), time to reach maximum concentration ( $t_{max}$ ), lowest observed plasma concentration ( $C_{trough}$ ), half-life ( $t_{1/2}$ ), clearance (CL), and volume of distribution ( $V_d$ )
- Antitumor effects will be assessed by RECIST v1.1 criteria, and by additional tumor-specific criteria for prostate cancer, ovarian cancer, and glioblastomas, as required
- Trial data will be summarized using descriptive statistics
  - Continuous variables: number of non-missing observations, mean, standard deviation, median, minimum, and maximum
  - Categorical variables: frequencies and percentages
  - Time-to-event variables: number of non-missing observations, median, minimum, and maximum; Kaplan–Meier event rates may also be provided (if applicable) for specific time-to-event variables

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AE, adverse events; MTD, maximum tolerated dose; PK, pharmacokinetic; RP2D, recommended phase 2 dose.