

SEQUOIA: RESULTS OF A PHASE 3 RANDOMIZED STUDY OF ZANUBRUTINIB VERSUS BENDAMUSTINE + RITUXIMAB IN PATIENTS WITH TREATMENT-NAIVE CHRONIC LYMPHOCYTIC LEUKEMIA/SMALL LYMPHOCYTIC LYMPHOMA

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| DISCLOSURES

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INTRODUCTION

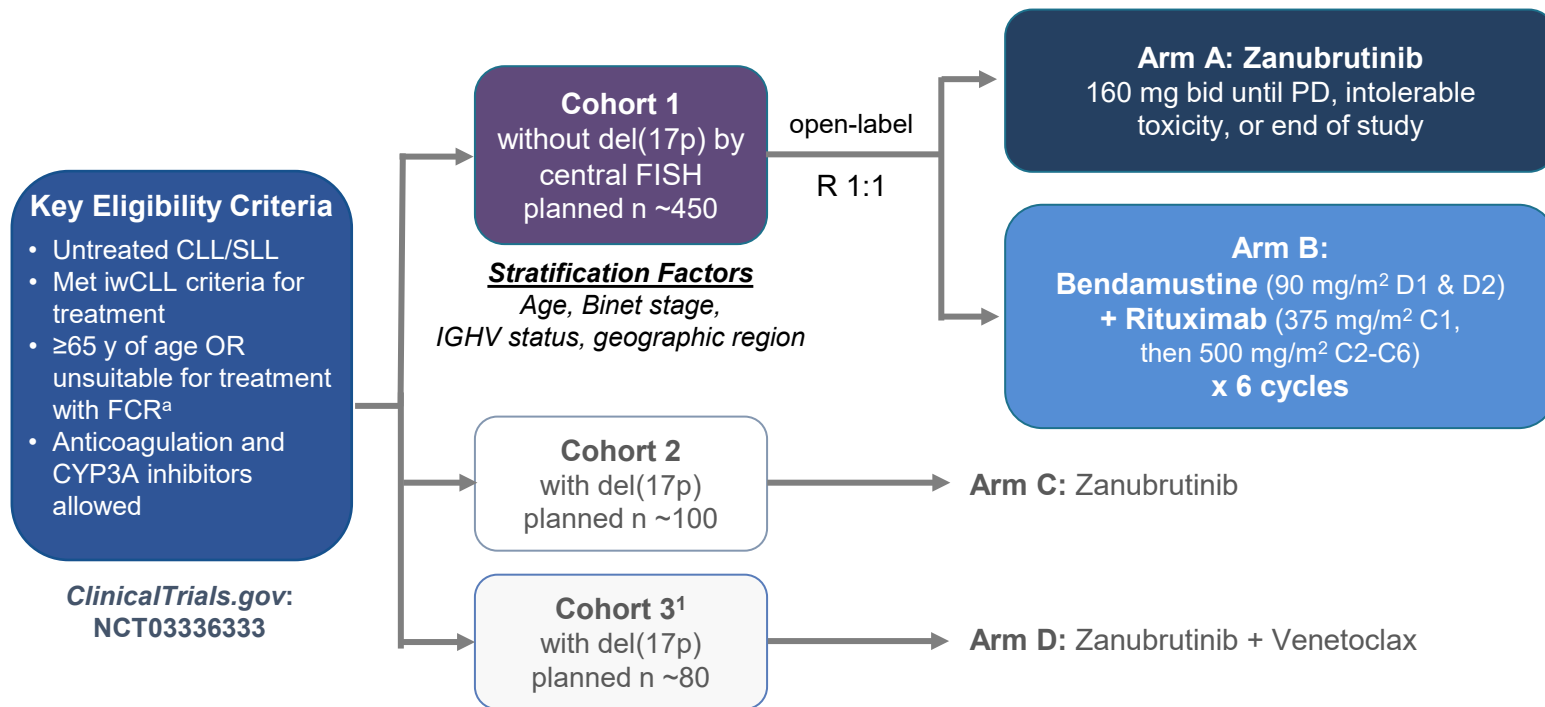
- Treatment of CLL/SLL has been transformed with the advent of effective inhibitors of B-cell receptor signaling, such as the BTK inhibitors ibrutinib and acalabrutinib
- Zanubrutinib (BGB-3111) is a highly selective next-generation BTK inhibitor designed to maximize BTK occupancy and minimize off-target effects^{1,2}
- Efficacy and safety of zanubrutinib has been recently demonstrated in two large randomized studies in Waldenström macroglobulinemia and relapsed/refractory CLL/SLL, with lower rates of atrial fibrillation when compared to ibrutinib^{3,4}
- Preliminary data showing high response rates with zanubrutinib in untreated patients with the high-risk genomic abnormality del(17p) have been recently published^{5,6}

BTK, Bruton tyrosine kinase; del(17p), chromosome 17p deletion; CLL, chronic lymphocytic leukemia; SLL, small lymphocytic lymphoma.

1. Guo Y, et al. *J Med Chem.* 2019;62:7923-7940. 2. Tam CS, et al. *Blood.* 2019;134: 851-859. 3. Tam CS, et al. *Blood.* 2020;146:2038-2050. 4. Hillmen P, et al. EHA 2021. Abstract LB1900. 5. Tam CS, et al. *Haematologica.* 2020;106:2354-2363. 6. Brown JR, et al. *Blood.* 2020;136(suppl 1):11-12.

SEQUOIA (BGB-3111-304)

Study Design



^aDefined as Cumulative Illness Rating Scale >6, creatinine clearance <70 mL/min, or a history of previous severe infection or multiple infections within the last 2 years.

bid, twice daily; C, cycle; CLL/SLL, chronic lymphocytic leukemia/small lymphocytic lymphoma; CYP3A, cytochrome P450, family 3, subfamily A; D, day; del(17p), chromosome 17p deletion; FCR, fludarabine, cyclophosphamide, and rituximab; FISH, fluorescence in-situ hybridization; IRC, independent review committee; IGHV, gene encoding the immunoglobulin heavy chain variable region; iwCLL, International Workshop on CLL; ORR, overall response rate; PD, progressive disease; R, randomized.

1. Tedeschi A, et al. ASH 2021. Abstract 67.

| Endpoints and Analyses for Cohort 1

Primary Endpoint

- PFS per IRC assessment^a

Select Secondary Endpoints^a

- PFS per investigator assessment
- Overall response rate per IRC and investigator assessments
- Overall survival
- Safety

Analyses

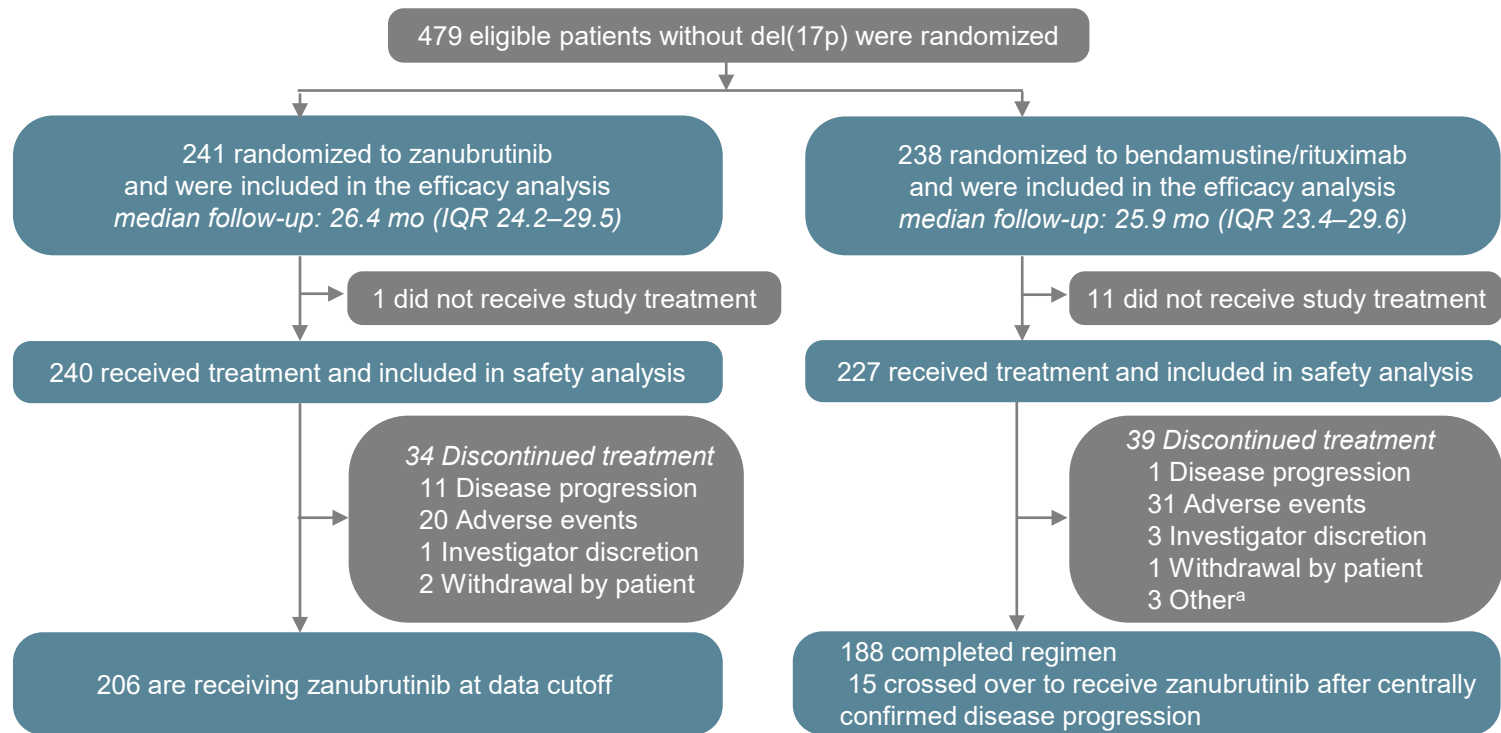
- One pre-specified interim analysis was planned at approximately 86 events
- Efficacy analyses were intention-to-treat

^aIRC and investigator response assessments per modified iwCLL criteria for CLL^{1,2} and Lugano criteria for SLL.³

CLL, chronic lymphocytic leukemia; IRC, independent review committee; iwCLL, International Workshop on CLL; PFS, progression-free survival; SLL, small lymphocytic lymphoma.

1. Hallek M, et al. *Blood*. 2008;111:5446-5456. 2. Cheson BD, et al. *J Clin Oncol*. 2012;30:2820-2822. 3. Cheson BD, et al. *J Clin Oncol*. 2014;32:3059-3067.

Patient Disposition



^aOne patient discontinued after extended dose hold for an adverse event; 1 patient elected to discontinue treatment after multiple adverse events; 1 patient did not want to continue treatment.

^bEnrollment Period: October 2017–July 2019.

BR, bendamustine + rituximab; del(17p), chromosome 17p deletion; IQR, interquartile range; mo, month.

Select Baseline Patient and Disease Characteristics

	<u>Arm A</u> Zanubrutinib (n=241)	<u>Arm B</u> Bendamustine + Rituximab (n=238)
Median age, years (IQR)	70 (66–75)	70 (66–74)
Age ≥65, n (%)	196 (81.3)	192 (80.7)
Male, n (%)	154 (63.9)	144 (60.5)
ECOG PS 2, n (%)	15 (6.2)	20 (8.4)
Geographic region, n (%)		
North America	34 (14.1)	28 (11.8)
Europe ^a	174 (72.2)	172 (72.3)
Asia/Pacific	33 (13.7)	38 (16.0)
Binet stage C,^b n (%)	70 (29.0)	70 (29.4)
Bulky disease ≥5 cm, n (%)	69 (28.6)	73 (30.7)
Cytopenia at baseline,^c n (%)	102 (42.3)	109 (45.8)
Unmutated IGHV gene, n/N (%)	125/234 (53.4)	121/231 (52.4)
Del(11q), n (%)	43 (17.8)	46 (19.3)
TP53 mutation, n/N (%)	15/232 (6.5)	13/223 (5.8)

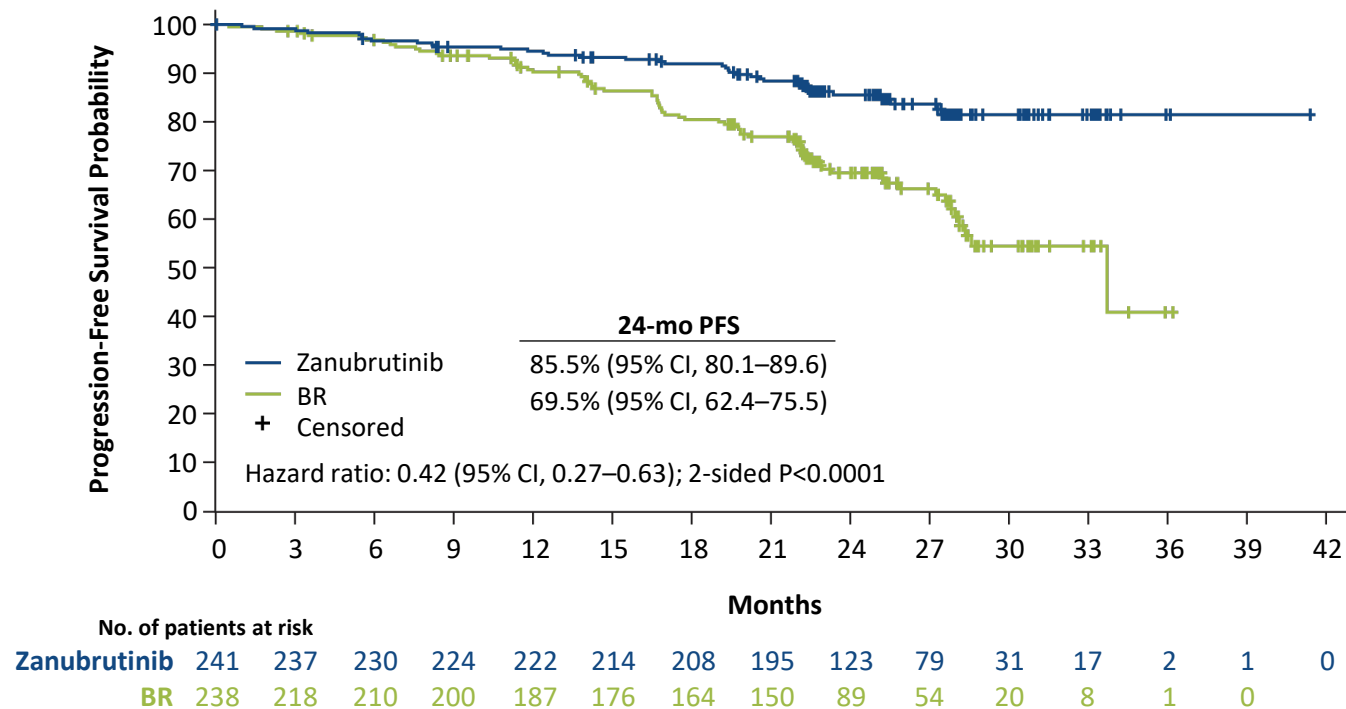
^an=43 French patients enrolled

^bPatients with SLL had Binet stage calculated as if they had CLL.

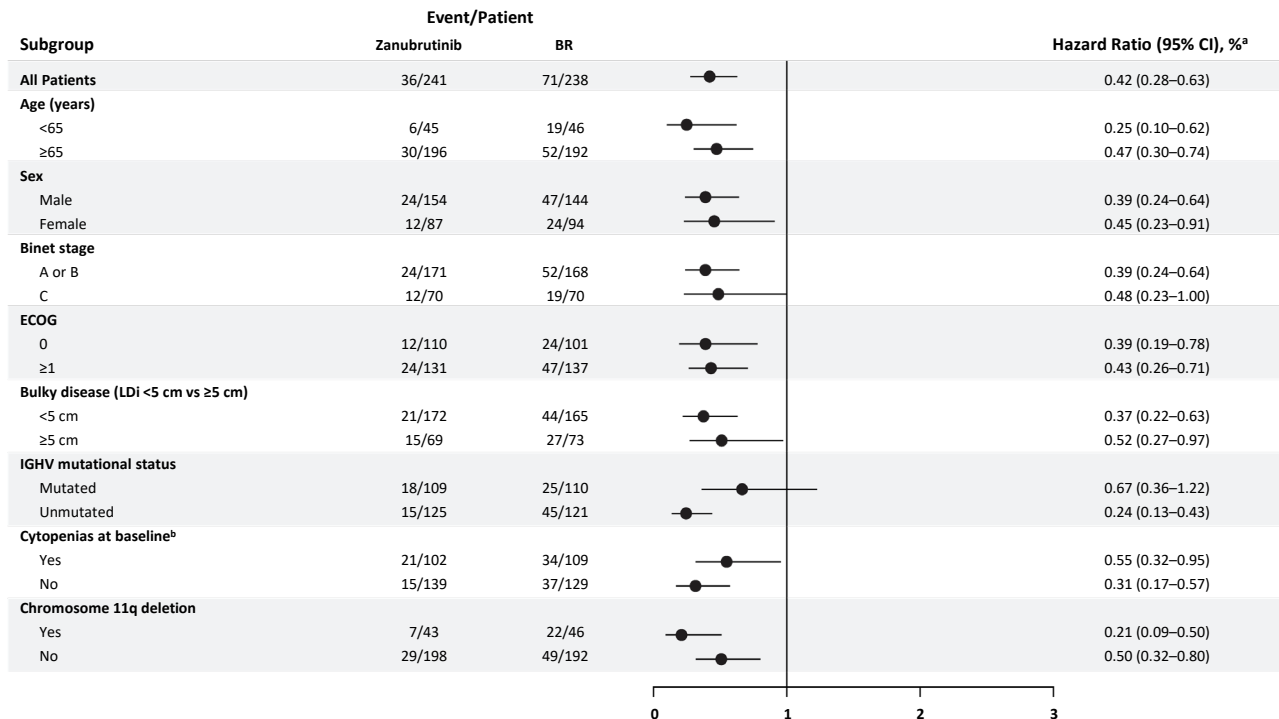
^cDefined as having anemia (hemoglobin ≤110 g/L) or thrombocytopenia (platelets ≤100 × 10⁹/L) or neutropenia (absolute neutrophil count ≤1.5 × 10⁹/L).

CLL, chronic lymphocytic leukemia; del(11q), chromosome 11q deletion; ECOG PS, Eastern Cooperative Oncology Group performance status; IQR, interquartile range; IGHV, gene encoding the immunoglobulin heavy chain variable region; SLL, small lymphocytic lymphoma; TP53, gene encoding tumor protein p53.

Progression-Free Survival Per IRC Assessment



Progression-Free Survival Per IRC Assessment by Key Patient Subgroups

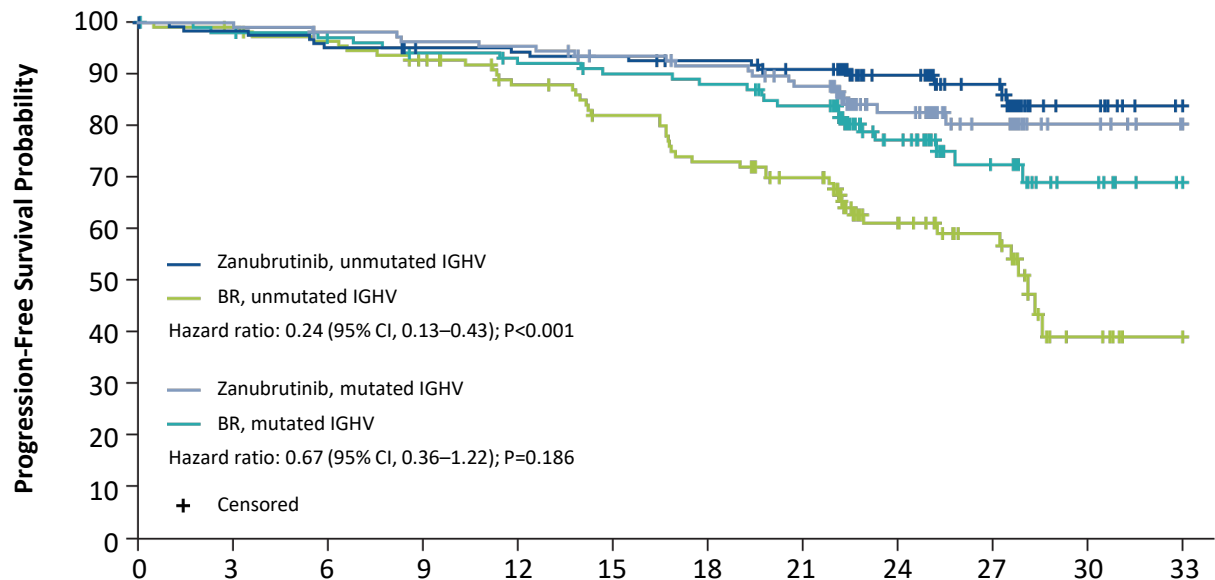


^aHazard ratios were calculated using a stratified Cox regression model.

^bDefined as having anemia (hemoglobin ≤ 110 g/L) or thrombocytopenia (platelets $\leq 100 \times 10^9/L$) or neutropenia (absolute neutrophil count $\leq 1.5 \times 10^9/L$).

BR, bendamustine + rituximab; CI, confidence interval; ECOG, Eastern Cooperative Oncology Group; IGHV, gene encoding the immunoglobulin heavy chain variable region; IRC, independent review committee; LDi, longest diameter.

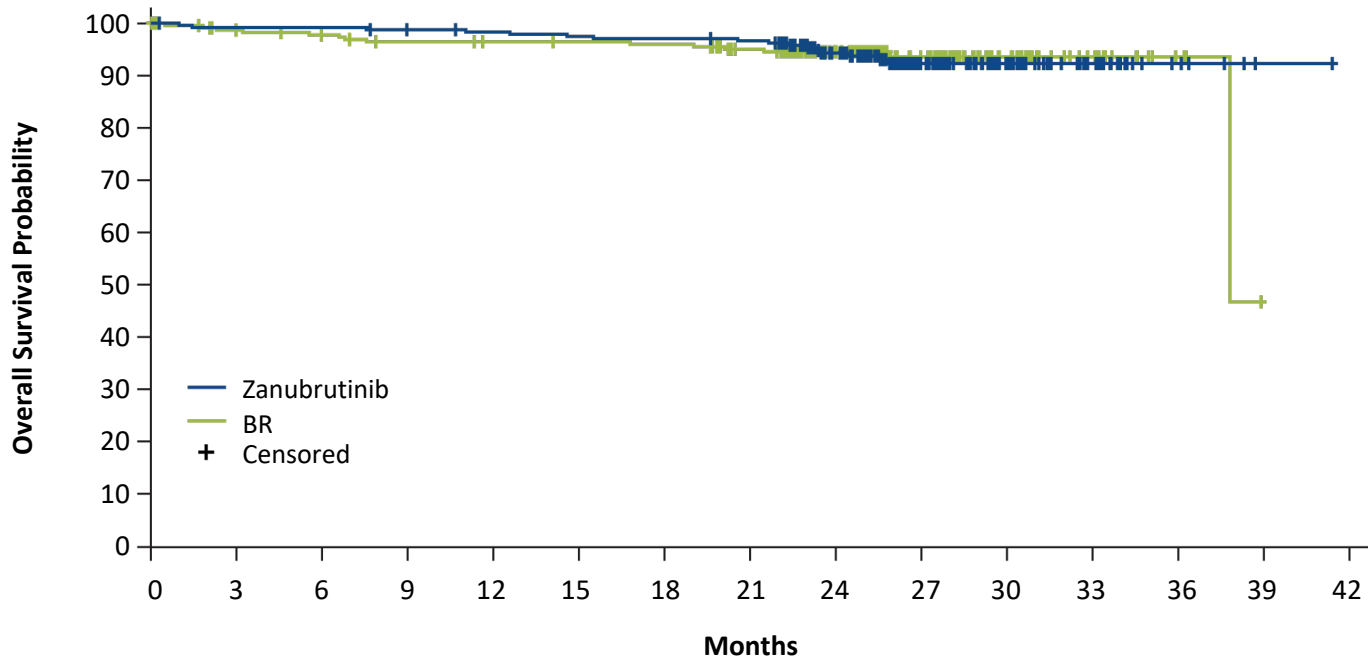
Progression-Free Survival Per IRC Assessment by IGHV Status



No. of patients at risk

	Months											
	0	3	6	9	12	15	18	21	24	27	30	33
Zanubrutinib - Unmutated	125	121	117	114	113	112	109	104	68	44	14	6
BR - Unmutated	121	110	106	100	90	82	73	65	39	25	6	1
Zanubrutinib - Mutated	109	109	106	104	103	97	94	88	53	33	15	10
BR - Mutated	110	101	98	94	91	88	86	80	47	27	14	7

Overall Survival



	No. of patients at risk															
	0	3	6	9	12	15	18	21	24	27	30	33	36	39	42	
Zanubrutinib	241	238	238	235	233	231	230	228	179	97	48	22	6	1	0	
BR	238	222	217	212	210	209	208	198	141	84	41	16	4	0		

Median Follow-Up: 26.2mo. BR, bendamustine + rituximab.

Adverse Event Summary

	<u>Arm A</u> Zanubrutinib (n=240 ^a)	<u>Arm B</u> Bendamustine + Rituximab (n=227 ^a)
Any AE, n (%)	224 (93.3)	218 (96.0)
Grade ≥3 AE, n (%)	126 (52.5)	181 (79.7)
Serious AE, n (%)	88 (36.7)	113 (49.8)
Fatal AE, n (%)	11 (4.6)	11 (4.8)
AE leading to dose reduction, n (%)	18 (7.5)	84 (37.4)
AE leading to dose interruption/delay, n (%)	111 (46.3)	154 (67.8)
AE leading to discontinuation, n (%)	20 (8.3)	31 (13.7)

AEs were recorded until disease progression to support safety evaluation over an equivalent time period

^aSafety was assessed in patients who received ≥1 dose of treatment; 1 patient in Arm A and 11 patients in Arm B did not receive treatment.
AE, adverse event.

Common Adverse Events (≥12% of Patients in Any Arm)

AE, n (%)	Arm A Zanubrutinib (n=240 ^a)		Arm B Bendamustine + Rituximab (n=227 ^a)	
	Any Grade	Grade ≥3	Any Grade	Grade ≥3
Contusion	46 (19.2)	0 (0.0)	8 (3.5)	0 (0.0)
Upper respiratory tract infection	41 (17.1)	2 (0.8)	27 (11.9)	2 (0.9)
Neutropenia ^b	37 (15.4)	27 (11.3)	129 (56.8)	116 (51.1)
Diarrhea	33 (13.8)	0 (0.0)	30 (13.2)	4 (1.8)
Arthralgia	32 (13.3)	2 (0.8)	20 (8.8)	1 (0.4)
Fatigue	28 (11.7)	3 (1.3)	36 (15.9)	2 (0.9)
Rash	26 (10.8)	0 (0.0)	44 (19.4)	6 (2.6)
Constipation	24 (10.0)	1 (0.4)	43 (18.9)	0 (0.0)
Nausea	24 (10.0)	0 (0.0)	74 (32.6)	3 (1.3)
Pyrexia	17 (7.1)	0 (0.0)	60 (26.4)	8 (3.5)
Vomiting	17 (7.1)	0 (0.0)	33 (14.5)	3 (1.3)
Anemia	11 (4.6)	1 (0.4)	43 (18.9)	4 (1.8)
Thrombocytopenia	9 (3.8)	4 (1.7)	31 (13.7)	16 (7.0)
Infusion-related reaction ^c	1 (0.4)	0 (0.0)	43 (18.9)	6 (2.6)

^aSafety was assessed in patients who received ≥1 dose of treatment; 1 patient in Arm A and 11 patients in Arm B did not receive treatment.

^bPooled term with neutrophil count decreased.

^cDue to amphotericin B infusion.

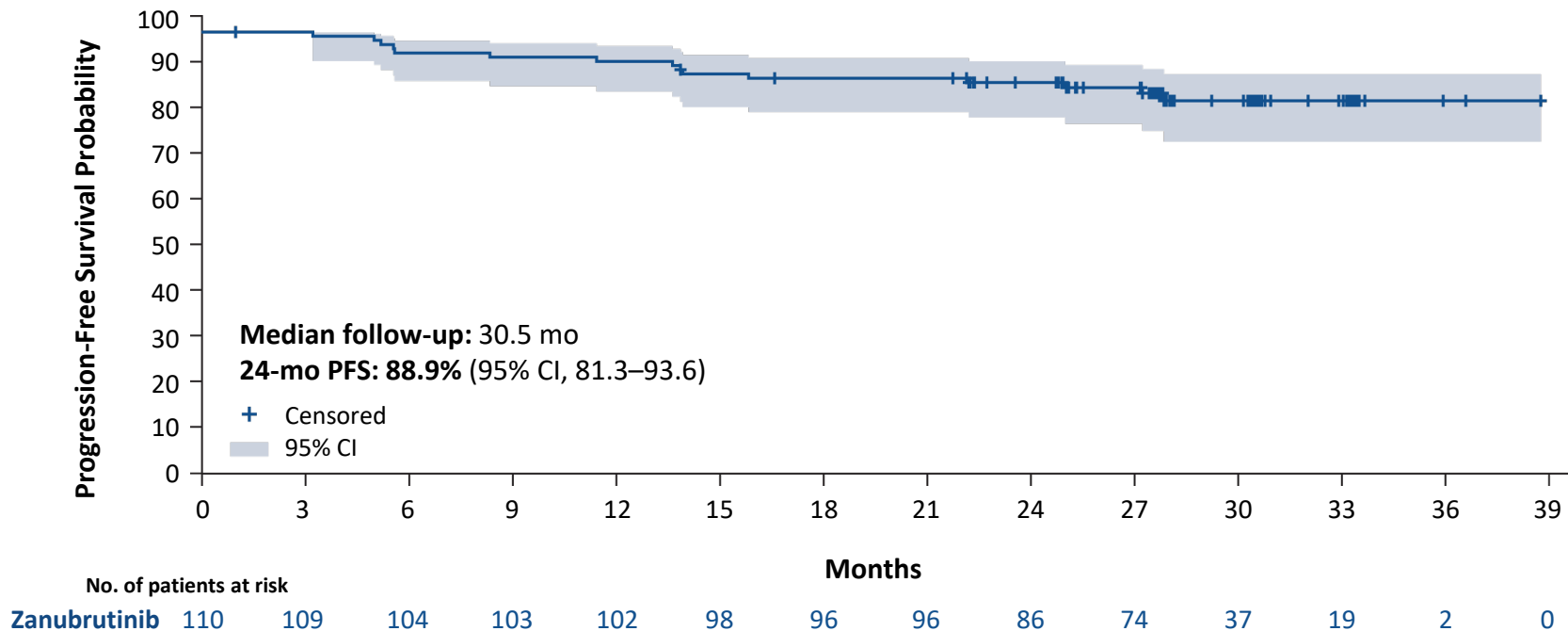
AE, adverse event.

Adverse Events of Interest

AE, n (%)	Arm A Zanubrutinib (n=240 ^a)		Arm B Bendamustine + Rituximab (n=227 ^a)	
	Any Grade	Grade ≥3	Any Grade	Grade ≥3
Anemia	11 (4.6)	1 (0.4)	44 (19.4)	4 (1.8)
Neutropenia^b	38 (15.8)	28 (11.7)	129 (56.8)	116 (51.1)
Thrombocytopenia^c	11 (4.6)	5 (2.1)	40 (17.6)	18 (7.9)
Arthralgia	32 (13.3)	2 (0.8)	20 (8.8)	1 (0.4)
Atrial fibrillation	8 (3.3)	1 (0.4)	6 (2.6)	3 (1.3)
Bleeding^d	108 (45.0)	9 (3.8)	25 (11.0)	4 (1.8)
Major bleeding ^e	12 (5.0)	9 (3.8)	4 (1.8)	4 (1.8)
Diarrhea	33 (13.8)	2 (0.8)	31 (13.7)	5 (2.2)
Hypertension^f	34 (14.2)	15 (6.3)	24 (10.6)	11 (4.8)
Infections^g	149 (62.1)	39 (16.3)	127 (55.9)	43 (18.9)
Myalgia	9 (3.8)	0 (0.0)	3 (1.3)	0 (0.0)
Other cancers	31 (12.9)	17 (7.1)	20 (8.8)	7 (3.1)
Dermatologic other cancers	16 (6.7)	2 (0.8)	10 (4.4)	2 (0.9)

^aSafety was assessed in patients who received ≥1 dose of treatment; 1 patient in Arm A and 11 patients in Arm B did not receive treatment. ^bNeutropenia, neutrophil count decreased, or febrile neutropenia. ^cThrombocytopenia or platelet count decreased. ^dPooled term of all-cause bleeding including bruising, petechiae, purpura, and contusion. ^eMajor bleeding included all grade ≥3, serious, and any-grade central nervous system hemorrhage. ^fHypertension, blood pressure increased, or hypertensive crisis. ^gAll infection terms pooled. AE, adverse event.

Cohort 2: PFS Per IRC Assessment in Patients With Del(17p)

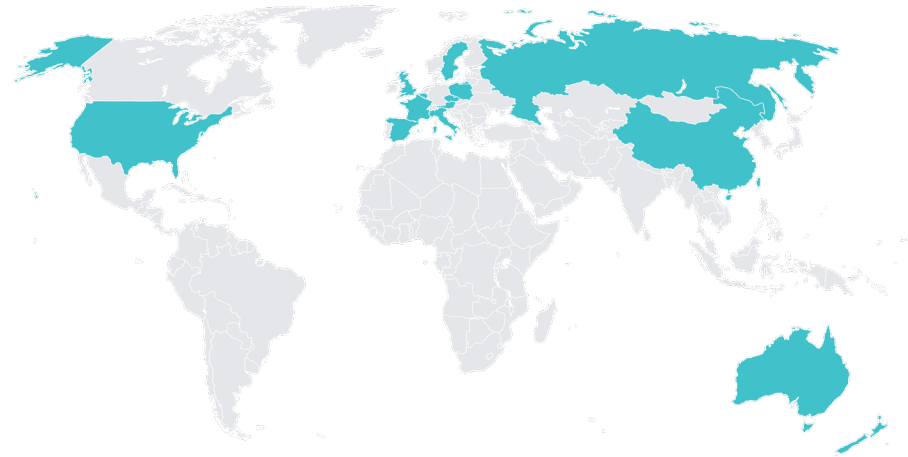


| CONCLUSIONS

- Zanubrutinib demonstrated superiority in progression-free survival over bendamustine + rituximab (hazard ratio 0.42, 2-sided $P < 0.0001$) as assessed by independent review
- Superiority was also observed across high-risk subgroups, such as patients with unmutated IGHV and del(11q)
- Consistent with other zanubrutinib studies, zanubrutinib appeared well tolerated with no new safety signals identified; the rate of atrial fibrillation was low
- These data demonstrate that chemotherapy-free treatment using the potent and selective BTK inhibitor, zanubrutinib, is safe and effective for patients with treatment-naive CLL/SLL

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