A PHASE 3, RANDOMIZED, OPEN-LABEL STUDY TO COMPARE THE EFFICACY OF TISLELIZUMAB (BGB-A317) VERSUS CHEMOTHERAPY AS SECOND-LINE THERAPY FOR ADVANCED UNRESECTABLE/METASTATIC ESOPHAGEAL SQUAMOUS CELL CARCINOMA (ESCC)

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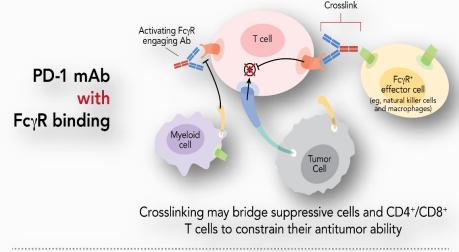
Immune Checkpoint Inhibitors as Treatment for Esophageal Cancer

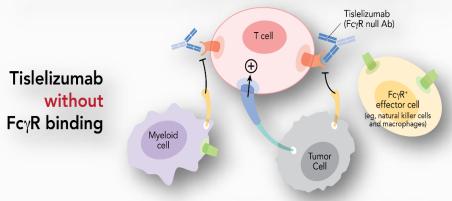
- Approximately 40% of patients with esophageal cancer are diagnosed with advanced unresectable or metastatic disease, which progresses rapidly, having a 5-year survival rate of less than 5%^{1,2}
- The availability of highly effective and generally tolerable treatment options is limited for those with advanced or metastatic disease who have failed first-line treatment³⁻⁵
- Inhibition of programmed cell death protein-1 (PD-1) has demonstrated antitumor activity and was generally well tolerated in patients with advanced unresectable or metastatic ESCC^{6,7}

¹Lin M, Li YP, Wu SG, et al. *Onco Targets Ther*. 2016;9:6435–6444; ²Drahos J, Wu M, Anderson WF, et al. *PLoS One*. 2013;8(7):e67913; ³Song Z, Zhang Y. *Onco Targets Ther*. 2014;7:1875–1881; ⁴Thallinger CM, Raderer M, Hejna M. *J Clin Oncol*. 2011;29(35):4709–4714; ⁵Albertsson M, Johansson B, Friesland S, et al. *Med Oncol*. 2007;24(4): 407–412; ⁶Doi T, Piha-Paul SA, Jalal SI, et al. *J Clin Oncol*. 2018;36(1):61–67. ⁷Kudo T, Hamamoto Y, Kato K, et al. *Lancet Oncol*. 2017;18(5):631–639.

Tislelizumab: A Uniquely Engineered Anti-PD-1 Monoclonal Antibody

- Tislelizumab is a humanized IgG4 monoclonal antibody with high affinity/specificity for PD-1
- Tislelizumab was specifically engineered to minimize binding to FcvR on macrophages, thereby abrogating antibodydependent T-cell clearance, a potential mechanism of resistance to anti-PD-1 therapy





No bridging FcγR+ cells and no activating PD-1 pathway

Figure modified from Dahan R, et al. *Cancer Cell*. 2015;28:285–295. **Abbreviations:** Ab, antibody; PD-1, programmed cell death-1.



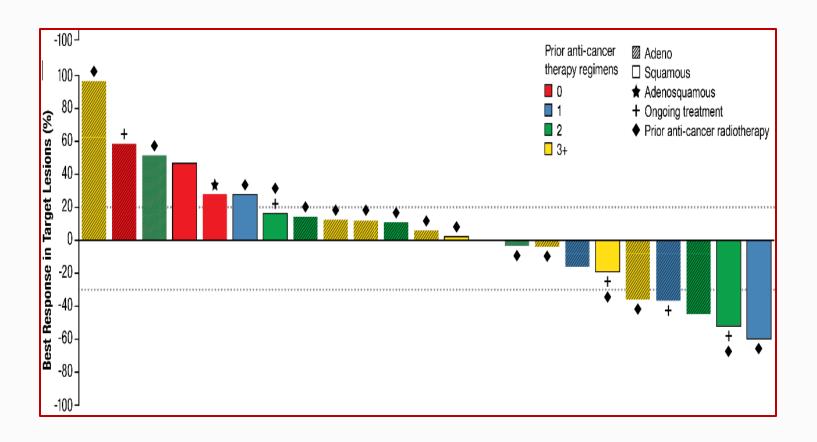
Antitumor Activity of Tislelizumab as Single-agent Treatment for Esophageal Cancer (EC)

- A first-in-human study (NCT02407990) demonstrated that single-agent tislelizumab was generally well tolerated and had preliminary antitumor effects in patients with advanced solid tumors, including EC^{1,2}
 - The recommended dose of tislelizumab is 200 mg, given intravenously
 (IV) every 3 weeks (Q3W)
- Phase 2 and 3 clinical studies evaluating tislelizumab in patients with advanced solid tumors are ongoing

¹Desai J, Markman B, Sandhu SK, et al. *J Immunother Cancer*. 2016;4(suppl 1):154; ²Desai J, Millward M, Chao Y, et al. *Ann Oncol*. 2017;28(suppl 5):v122–v141.



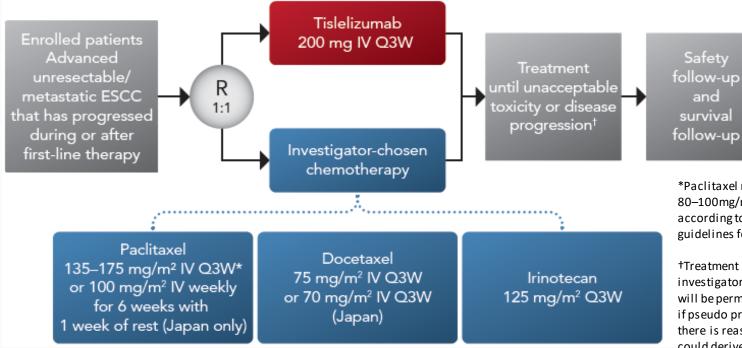
Maximum Tumor Reduction in Patients with EC





Study Design

- This phase 3, randomized, controlled, open-label study (NCT03430843) was designed to evaluate the efficacy and safety of tislelizumab compared with investigator-chosen chemotherapy for second-line treatment of advanced unresectable/metastatic ESCC
 - Approximately 450 patients will be enrolled globally



Abbreviations: ECOG PS, Eastern Cooperative Oncology Group performance status; ESCC, esophageal squamous cell carcinoma; IV, intravenously; Q3W, once every 3 weeks; R, randomization.

*Paclitaxel may also be given in doses of 80-100mg/m² IV on a weekly schedule, according to local and/or country specific guidelines for standard of care.

Safety

and

survival

†Treatment beyond the initial investigator-assessed disease progression will be permitted in the tislelizumab arm if pseudo progression is suspected or there is reasonable belief that the patient could derive benefit from the treatment.



Study Endpoints

	Content
Primary	To compare overall survival (OS) for tislelizumab with that for chemotherapy
Secondary	 To compare tislelizumab versus chemotherapy for objective response rate (ORR), progression-free survival (PFS), and duration of response (DoR), along with health-related quality of life, and safety and tolerability

Study Population: Key Inclusion Criteria

- Adult patients, aged ≥18 years, will be enrolled if they have the following:
- Histologically confirmed ESCC that has progressed during or after first-line therapy for unresectable/metastatic disease
- At least one measurable/evaluable lesion by Response Evaluation Criteria in Solid Tumors (RECIST) v1.1
- An Eastern Cooperative Oncology performance status score of
 ≤1



Study Population: Key Exclusion Criteria

- Ineligible for any of the treatments of protocol-specified chemotherapy (paclitaxel/docetaxel/irinotecan)
- Received two or more prior systemic treatments for advanced/metastatic unresectable ESCC
- Had palliative radiation treatment for ESCC within 14 days of study treatment initiation
- A history of gastrointestinal perforation and/or fistula or aortoesophageal fistula within 6 months of randomization
- Apparent tumor invasion into organs located adjacent to the esophageal disease site that are at an increased risk of fistula
- Uncontrollable pleural effusion, pericardial effusion, or ascites requiring frequent drainage
- Active hepatitis C, untreated chronic hepatitis B, or if they are carriers of chronic hepatitis B virus (HBV) whose HBV DNA is higher than 500 IU/mL



Study Treatment

Patients will be randomized 1:1 to receive either tislelizumab 200 mg IV Q3W
 (Day 1 of each 21-day cycle) or investigator chosen chemotherapy:

Paclitaxel
135–175 mg/m2 IV
Q3W (Day 1 of each 21-day cycle) or 100
mg/m2 IV weekly for 6
weeks with 1 week of
rest (Japan only)

Docetaxel
75 mg/m2 or 70
mg/m2 (Japan only)
IV Q3W (Day 1 of each 21-day cycle)

Irinotecan
125 mg/m2 IV
Q3W (Day 1, Day 8
of each 21-day
cycle)

 Randomization will be stratified by region, ECOG performance status, and chemotherapy option



Study Treatment

- Treatment will be administered until disease progression, intolerable toxicity, or treatment discontinuation for other reasons
 - Patients on the tislelizumab arm will be permitted to continue tislelizumab treatment beyond radiological progression if clinical benefit is observed per investigator's discretion
- There will be no dose reduction of tislelizumab in this study; dose delays or interruptions of less than 12 weeks will be permitted; a maximum of two dose reductions will be permitted for each chemotherapeutic agent
 - Chemotherapy-related toxicities (with the exception of alopecia or grade 2 fatigue) must have resolved to baseline or grade 0–1 prior to administration of the next chemotherapy dose



Study Assessments and Statistical Analysis

- The primary endpoint will be OS for tislelizumab versus investigator-chosen chemotherapy, with the analysis performed using a two-sided, stratified log-rank test (α =0.05); data will be presented as Kaplan–Meier survival probability plots
 - An interim analysis of OS is planned
- The secondary endpoints of ORR (the proportion of patients with complete response or partial response), PFS, and DoR will be assessed by the investigator using RECIST v1.1 criteria
 - Tumor assessments will occur at baseline, every 6 weeks for 6 months,
 then every 9 weeks until disease progression
 - ORR will be analyzed using a Cochran-Mantel-Haenszel test, adjusting for selected stratification factors, and PFS will be assessed using the Kaplan-Meier method

Study Assessments and Statistical Analysis

- Health-related quality of life will be assessed using the EORTC QLQ-C30 index, the EORTC QLQ esophageal cancer module OES18, and the generic health state instrument EuroQol 5D
- Safety/tolerability will be assessed by monitoring adverse events (AEs; occurring up to 30 days after the last dose of the study drug), monitoring immune-related AEs (occurring up to 90 days after the last dose of the study drug), and through physical examinations, vital signs, and electrocardiograms



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