

# RATIONALE 302: Randomized, Phase 3 study of tislelizumab vs chemotherapy as second-line treatment for advanced or metastatic esophageal squamous cell carcinoma

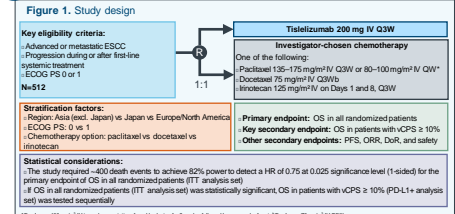
Lin Shen,<sup>1</sup> Ken Kato,<sup>2</sup> Sung-Bae Kim,<sup>3</sup> Jaffer Ajani,<sup>4</sup> Kuale Zhao,<sup>5</sup> Zhiyong He,<sup>6</sup> Xinmin Yu,<sup>7</sup> Yongqian Shu,<sup>8</sup> Qi Luo,<sup>9</sup> Jufeng Wang,<sup>10</sup> Zhendong Chen,<sup>11</sup> Zuoxing Ni,<sup>12</sup> Jiong-Yu Sun,<sup>13</sup> Chen-Yuan Liu,<sup>14</sup> Hiroki Hara,<sup>15</sup> Roberto Pazo-Cid,<sup>16</sup> Christophe Borg,<sup>17</sup> Liyun Li,<sup>18</sup> Aiyang Tao,<sup>18</sup> Eric Van Cutsem<sup>19</sup>

<sup>1</sup>Department of Gastroenterology, Key Laboratory of Carcinogenesis and Translational Research (Ministry of Education) Beijing, Peking University Cancer Hospital & Institute, Beijing, China; <sup>2</sup>National Cancer Center Hospital, Tokyo, Japan; <sup>3</sup>Asian Medical Center, University of Chungcheong Medical Center, Seoul, South Korea; <sup>4</sup>University of Texas MD Anderson Cancer Center, Houston, Texas; <sup>5</sup>Fudan Cancer Hospital, Shanghai, China; <sup>6</sup>Fujian Cancer Hospital, Fujian Medical University Cancer Hospital, Fujian, China; <sup>7</sup>Zhejiang Cancer Hospital, Hangzhou, China; <sup>8</sup>Xiangqiao Province Hospital, Jiangsu, China; <sup>9</sup>Department of Medical Oncology, Shandong Cancer Hospital, Shandong Academy of Medical Sciences, Jinan, China; <sup>10</sup>Department of Medical Oncology, Shandong Cancer Hospital, Shandong Academy of Medical Sciences, Jinan, China; <sup>11</sup>University Medical Center, Seoul, South Korea; <sup>12</sup>China Medical University Hospital, and China Medical University, Taichung, Taiwan; <sup>13</sup>National Cancer Center, Saitama, Japan; <sup>14</sup>Hospital Universitario Miguel Serva, Zaragoza, Spain; <sup>15</sup>Barcelon Institute of Oncology, Barcelona, Spain; <sup>16</sup>Department of Gastroenterology, University Hospital of Bourgogne, France; <sup>17</sup>Netherlands Life Science Park, Beijing, China; <sup>18</sup>University Hospitals Ghent/Leuven and KU Leuven, Leuven, Belgium; <sup>19</sup>University Hospital of Bourgogne, France

## Introduction

- Advanced or metastatic esophageal squamous cell carcinoma (ESCC) has a poor prognosis, with an estimated 5-year survival rate of ~5%<sup>1</sup>
- Tislelizumab is an anti-programmed cell death protein 1 (PD-1) monoclonal antibody with high affinity and specificity for PD-1, engineered to minimize binding to FcγR on macrophages to limit antibody-dependent phagocytosis, a mechanism of T-cell clearance and a potential mechanism of resistance to anti-PD-1 therapy<sup>2</sup>
- Tislelizumab monotherapy has demonstrated antitumor activity in patients with solid tumors, including ESCC<sup>3-5</sup>
- Here, we report the primary results of a global Phase 3 study (NCT03430843) that investigated the effect of second-line tislelizumab compared with chemotherapy on overall survival (OS) in adult patients with advanced or metastatic ESCC

## Methods



## Results

- 512 patients were randomized (256 to tislelizumab and 256 to chemotherapy) from 132 sites in 11 countries/regions in Asia, Europe, and North America. Treatment was received by 255 patients (98.6%) for tislelizumab and 240 patients (93.8%) for chemotherapy
- At the data cut-off of final analysis (Dec 1, 2020):  
 - Median (range) follow-up in months was 8.5 (0.2-31.7) for tislelizumab and 5.8 (0-30.8) for chemotherapy  
 - 16 patients (6.3%) remained on treatment with tislelizumab vs 1 patient (0.4%) with chemotherapy

**Table 1. Patient baseline characteristics in all randomized patients**

Characteristic	Tislelizumab (n=256)	Chemotherapy (n=256)
Median age (range), years	62.0 (40-86)	63.0 (35-81)
Male, n (%)	217 (84.8)	215 (84.0)
Region, n (%)		
Europe/North America	203 (79.5)	209 (79.3)
Asia	55 (21.5)	53 (20.7)
ECOG PS, n (%)		
0	66 (25.8)	60 (23.4)
1	190 (74.2)	196 (76.6)
PD-L1 status, n (%)		
vCPs ≥ 10%	89 (34.8)	68 (26.6)
vCPs < 10%	116 (45.3)	140 (54.7)
Unknown	51 (19.9)	48 (18.5)
Disease status at baseline, n (%)		
Locally advanced	5 (2.0)	20 (7.8)
Metastatic	251 (98.0)	238 (92.2)
Prior therapies, n (%)		
Surgery	84 (36.7)	69 (33.7)
Radiotherapy	169 (66.0)	163 (63.7)
Platinum-based chemotherapy	249 (97.3)	252 (98.4)

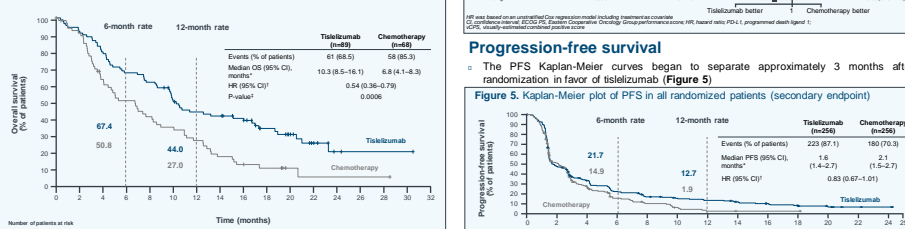
## Conclusions

- Tislelizumab demonstrated a statistically significant and clinically meaningful improvement in OS vs chemotherapy in advanced or metastatic ESCC patients whose tumor progressed during or after first-line treatment
- Survival benefit was observed across pre-defined subgroups, including PD-L1 expression status, race and region
- Tislelizumab resulted in higher and more durable antitumor response than chemotherapy
- Tislelizumab showed a favorable safety profile compared with chemotherapy, with no new safety signals identified
- Tislelizumab represents a potential new second-line treatment option for patients with advanced or metastatic ESCC

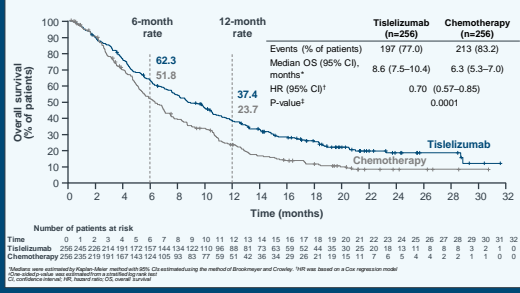
## Overall survival

- Tislelizumab significantly improved OS compared to chemotherapy in all randomized patients, as well as in patients with vCPs ≥ 10%:  
 - A 30% reduction in the risk of death (HR 0.70, 95% confidence interval [CI]: 0.57-0.85, p<0.0001), with a 2.3 month improvement in median OS in all randomized patients was observed (Figure 2)
- A 46% reduction in the risk of death (HR 0.54, 95% CI: 0.36-0.79, p<0.0006), with a 3.5 month improvement in median OS in patients with PD-L1 vCPs ≥ 10% was observed (Figure 3)
- Survival benefit was consistently observed across pre-defined subgroups, including PD-L1 expression status, race and region (Figure 4)

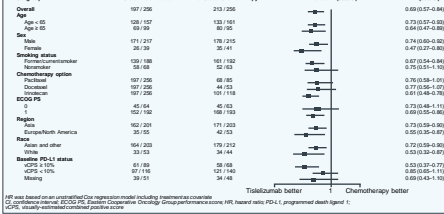
**Figure 3. Kaplan-Meier plot of OS in patients with vCPs ≥ 10% (key secondary endpoint)**



**Figure 2. Kaplan-Meier plot of OS in all randomized patients (primary endpoint)**



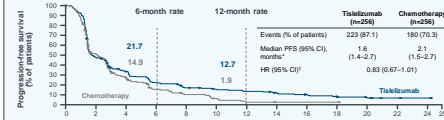
**Figure 4. OS by subgroup in all randomized patients**



## Progression-free survival

- The PFS Kaplan-Meier curves began to separate approximately 3 months after randomization in favor of tislelizumab (Figure 5)

**Figure 5. Kaplan-Meier plot of PFS in all randomized patients (secondary endpoint)**



## Response rate and duration

- Tislelizumab was associated with a greater ORR (20.3% vs 9.8%; odds ratio 2.4, 95% CI: 1.4-4.0) and a more durable tumor response (median DoR: 7.1 months vs 4.0 months) than chemotherapy (Table 2)

**Table 2. Summary of antitumor activity per RECIST v1.1 (investigator-assessed) in all randomized patients (secondary endpoint)**

	Tislelizumab (n=256)	Chemotherapy (n=256)
ORR, n (%)	52 (20.3)	25 (9.8)
Odds ratio (95% CI) <sup>a</sup>	2.4 (1.4-4.0)	1.0
Best overall response, n (%)		
Complete response	47 (18.4)	24 (9.4)
Partial response	6 (2.6)	82 (32.0)
Stable disease	116 (45.3)	86 (33.5)
Progressive disease	20 (7.8)	60 (24.6)
Not evaluable	7.1 (4.1-11.3)	4.0 (2.1-8.2)
Median DoR (95% CI), months <sup>b</sup>	7.1 (4.1-11.3)	4.0 (2.1-8.2)
Patients with ongoing response, n (%)	105 (21.9)	0 (25.0)

## Safety

- Tislelizumab showed a favorable safety profile compared with chemotherapy, with no new safety signals identified (Tables 3 and 4)

**Table 3. Summary of AEs**

Event, n (%)	Tislelizumab (n=256)	Chemotherapy (n=240)
Patients with at least one TEAE / TRAE	244 (95.7) / 187 (73.3)	236 (98.3) / 225 (93.8)
≥ Grade 2 TEAE / TRAE	118 (46.5) / 48 (18.8)	163 (67.1) / 134 (55.8)
Serious TEAE / TRAE	105 (41.2) / 36 (14.1)	107 (43.3) / 47 (19.6)
TEAE / TRAE leading to treatment discontinuation	49 (19.2) / 11 (6.7)	54 (21.7) / 23 (13.8)
TEAE / TRAE leading to death <sup>a</sup>	14 (5.5) / 5 (2.0)	14 (5.8) / 7 (2.9)

**Table 4. Treatment-related AEs reported in ≥ 10% of patients<sup>a</sup>**

Preferred term, n (%)	Tislelizumab (n=256)	Chemotherapy (n=240)
Aspartate aminotransferase increased	29 (11.4)	9 (3.8)
Anemia	28 (11.0)	63 (26.6)
Hyponatremia	26 (10.2)	0 (0.0)
Fatigue	19 (7.5)	33 (13.8)
Decreased appetite	16 (6.3)	75 (31.3)
Diarrhea	14 (5.5)	66 (27.5)
Arthralgia	12 (4.7)	28 (11.7)
Malaise	10 (3.9)	35 (14.6)
Weight decreased	8 (3.1)	25 (10.4)
Nausea	7 (2.7)	66 (27.5)
Leukopenia	7 (2.7)	30 (12.5)
White blood cell count decreased	5 (2.0)	48 (20.0)
Vomiting	4 (1.6)	43 (17.9)
Constipation	4 (1.6)	20 (8.3)
Neutrophil count decreased	3 (1.2)	94 (39.2)
Neutropenia	2 (0.8)	31 (12.9)
Albucic acid	2 (0.8)	42 (17.5)

## References

- Shen L, et al. *J Clin Oncol* 2020;38:4083
- Shen L, et al. *J Clin Oncol* 2020;38:4083
- Shen L, et al. *J Clin Oncol* 2020;38:4083

All trial materials, including the development of this paper and associated data, under direction of the sponsor, were provided by the sponsor. The sponsor is not responsible for the accuracy of the information presented in this paper. The sponsor is not responsible for the accuracy of the information presented in this paper. The sponsor is not responsible for the accuracy of the information presented in this paper.

Author contact details: [linshenku@163.com](mailto:linshenku@163.com) (Lin Shen)