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2023

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# Pathological Response to Neoadjuvant Tislelizumab Plus Platinum-Doublet Chemotherapy in Resectable Stage II-IIIa NSCLC Patients in the Phase 3 RATIONALE-315 Trial

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Madrid, Spain; 23 October 2023



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# DECLARATION OF INTERESTS

Dongsheng Yue

No conflicts of interest are reported.

# Background

- Lung cancer is the 2<sup>nd</sup> most diagnosed cancer globally and the leading cause of cancer-related mortality worldwide<sup>1</sup>
  - NSCLC is the predominant subtype of lung cancer, accounting for nearly 85% of lung cancer cases<sup>2</sup>
- Surgery offers the highest likelihood of curing patients with early stage NSCLC<sup>3</sup>, but approximately 30% to 55% of patients experience disease recurrence after curative surgery<sup>4</sup>
- (Neo)adjuvant CT has been recommended for patients with resectable stage II-IIIa NSCLC<sup>5</sup>
  - Studies have shown promising pathological response rates (ie, MPR, pCR) with neoadjuvant anti-PD-(L)1 mAbs ± CT<sup>5</sup>
  - However, post-op recurrence remains a concern<sup>5</sup>

**RATIONALE-315 (NCT04379635) is investigating the efficacy and safety of neoadjuvant TIS (anti-PD-1 mAb) + CT or PBO + CT, then adjuvant TIS or PBO, in patients with resectable stage II-IIIa NSCLC in China**

**Here we present the MPR and pCR results at the data cutoff of February 20<sup>th</sup>, 2023**

CT, chemotherapy; mAb, monoclonal antibody; MPR, major pathological response; NSCLC, non-small cell lung cancer; OS, overall survival; pCR, pathological complete response; PD-1, programmed-death 1; PD-L1, programmed-death ligand 1; TIS, tislelizumab.  
1. Sung H, et al. *CA Cancer J Clin*. 2021;71(3):209-249. 2. Ganti AK, et al. *Jama Oncol*. 2021;7(12):1824-1832. 3. Ettinger DS, et al. Non-Small Cell Lung Cancer, Version 3.2023. NCCN Clinical Practice Guidelines in Oncology. 2023. [nsccl.pdf \(nccn.org\)](#). 4. Forde PM, et al. *N Engl J Med*. 2022;386(21):1973-1985. 5. Heymach JV, et al. *Clin Lung Cancer*. 2022;23(3):e247-e251.

# Study Design

RATIONALE-315: randomized, double-blind, placebo-controlled, phase 3 study

## Key eligibility criteria

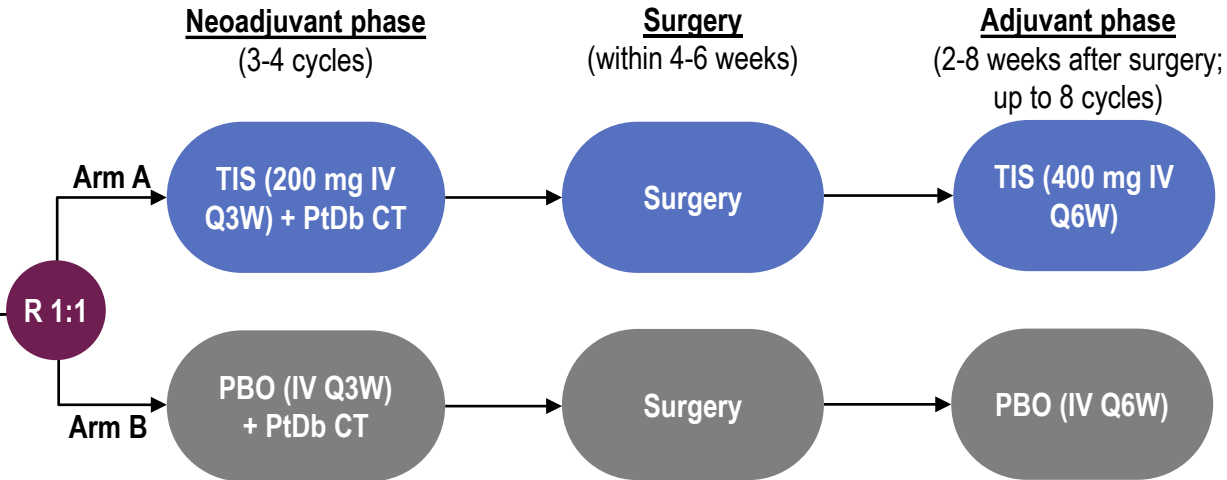
- Resectable stage II-IIIa NSCLC (eligible for R0 resection)
- ECOG PS 0 or 1
- *EGFR/ALK* WT

## Stratification

- Histology (sq vs nsq)
- Disease stage (II vs IIIa)
- PD-L1 expression ( $\geq 1\%$  vs  $< 1\%$ /not evaluable/indeterminate)

## Planned interim analysis:

- Final analysis of MPR and pCR per blinded IRC
- EFS at 75% of the target number of events



## Platinum-based doublet CT

- Squamous: cisplatin/carboplatin + paclitaxel
- Non-squamous: cisplatin/carboplatin + pemetrexed

## Primary endpoints:

- MPR rate by BIPR & EFS by BICR

## Key secondary endpoint:

- pCR

## Other secondary endpoints:

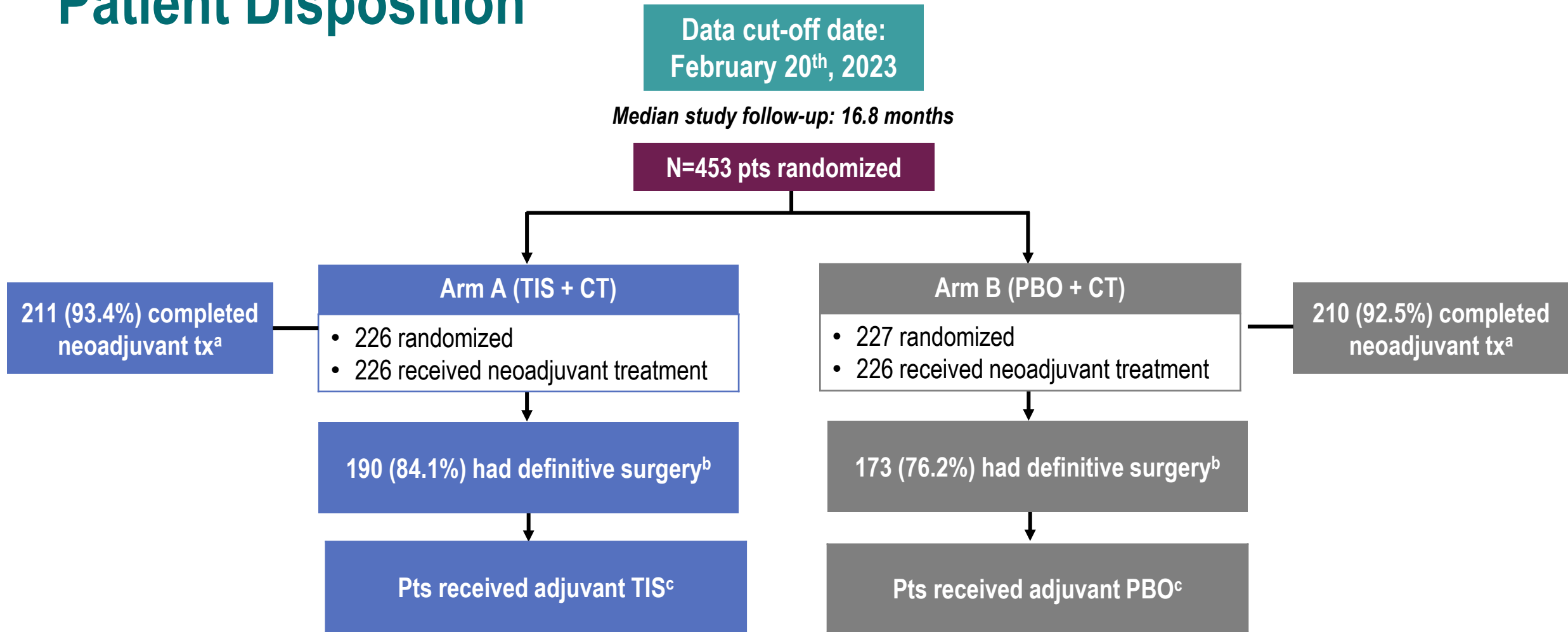
- OS, ORR, EFS by investigator, safety, HRQoL

## Statistical Considerations

- The ITT analysis set (TIS + CT, n=226; PBO + CT, n=227) included all randomized patients
- The safety analysis set (TIS + CT, n=226; PBO + CT, n=226) included all randomized patients who received  $\geq 1$  dose of any study drug
- 1-sided  $\alpha$  at 0.005 is allocated for the MPR test; if MPR is statistically significant, 0.005 will pass to the pCR test

BICR, blinded independent central review; BIPR, blinded independent pathology review; CT, chemotherapy; ECOG PS, Eastern Cooperative Oncology Group Performance Status; EFS, event-free survival; HRQoL, health-related quality of life; IRC, independent review committee; ITT, intention-to-treat; IV, intravenously; MPR, major pathological response; NSCLC, non-small cell lung cancer; nsq, nonsquamous; ORR, objective response rate; OS, overall survival; PBO, placebo; pCR, pathological complete response; PD-L1, programmed-death ligand 1; PtDb, platinum-based doublet; Q3W, once every 3 weeks; Q6W, once every 6 weeks; sq, squamous; R0, pathological complete resection of the primary tumor; TIS, tislelizumab; WT, wild type.

# Patient Disposition



<sup>a</sup> Reasons for not completing neoadjuvant treatment included withdrawal by subject (TIS+CT, 2.2%; PBO+CT, 4.0%), AE (TIS+CT, 3.1%; PBO+CT, 0.9%), PD (TIS+CT, 0.9%; PBO+CT, 1.8%), and physician decision (TIS+CT, 0.4%; PBO+CT, 0.9%). <sup>b</sup> Denominator based on randomized patients. Reasons for cancelled surgeries included withdrawal by subject (TIS+CT, 8.8%; PBO+CT, 12.3%), PD (TIS+CT, 2.2%; PBO+CT, 5.3%), physician decision (TIS+CT, 1.8%; PBO+CT, 5.3%), AE (TIS+CT, 2.7%; PBO+CT, 0.9%), and other reasons (TIS+CT, 0.4%). <sup>c</sup> Not all patients who completed surgery entered the adjuvant phase.

AE, adverse event; CT, chemotherapy; PBO, placebo; PD, progressive disease; pts, patients; TIS, tislelizumab; tx, treatment.

# Demographics and Baseline Characteristics

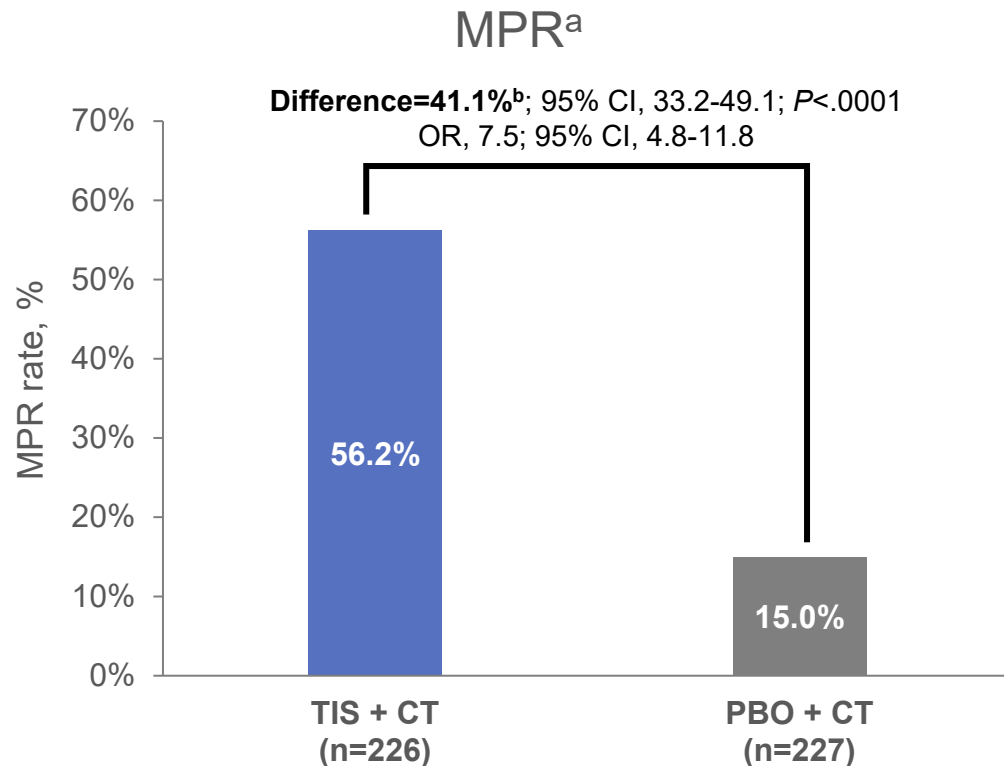
Demographics and Baseline Characteristics <sup>a</sup>		
	TIS + CT (n=226)	PBO + CT (n=227)
<b>Age, median (range), y</b>	62.0 (30-80)	63.0 (36-78)
<b>Male, n (%)</b>	205 (90.7)	205 (90.3)
<b>Asian, n (%)</b>	226 (100.0)	227 (100.0)
<b>ECOG PS, n (%)<sup>b</sup></b>		
0	142 (62.8)	154 (67.8)
1	83 (36.7)	73 (32.2)
<b>Smoking status, n (%)</b>		
Current/former	192 (85.0)	188 (82.8)
Never	34 (15.0)	39 (17.2)
<b>Histology, n (%)<sup>c</sup></b>		
Squamous	179 (79.2)	175 (77.1)
Non-squamous	45 (19.9)	50 (22.0)
<b>Disease stage, n (%)<sup>d</sup></b>		
II	93 (41.2)	93 (41.0)
IIIA	132 (58.4)	132 (58.1)
<b>PD-L1 expression, n (%)<sup>e</sup></b>		
<1%	89 (39.4)	84 (37.0)
≥1%	130 (57.5)	131 (57.7)

<sup>a</sup>ITT analysis set. <sup>b</sup>One pt in the TIS + CT arm had a missing ECOG PS. <sup>c</sup>Histology by CRF; not shown in table: pts with mixed histology (n=2 in each arm) were categorized as "other". <sup>d</sup>Disease stage by CRF, per AJCC 8th edition; not shown in table: 1 pt (TIS + CT arm) and 2 pts (PBO + CT arm) had stage IIIB disease. <sup>e</sup>PD-L1 expression from Central Lab; excluded pts with PD-L1 results that were not evaluable/indeterminate and/or missing.

AJCC, American Joint Committee on Cancer; CRF, case report form; CT, chemotherapy; ECOG PS, Eastern Cooperative Oncology Group Performance Status; ITT, intention-to-treat; PBO, placebo; PD-L1, programmed-death ligand 1; pts, patients; TIS, tislelizumab.

# Results: Major Pathological Response

- The MPR rate was significantly improved with TIS + CT versus PBO + CT ( $P < .0001$ ) in patients with resectable stage II-IIIa NSCLC



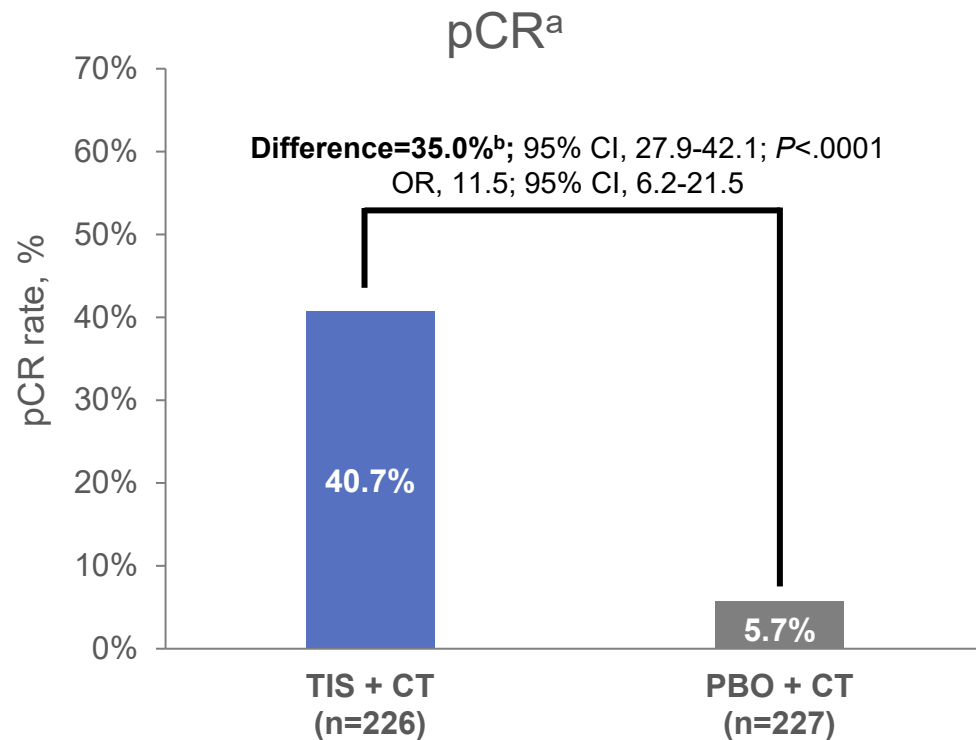
Subgroup <sup>c</sup>	TIS + CT n/N	PBO + CT n/N	Difference, % (95% CI)	Difference, % (95% CI)
Overall	127/226	34/227	41.2 (33.3-49.2)	41.2 (33.3-49.2)
Age <65 years	72/143	19/129	35.6 (25.4-45.8)	35.6 (25.4-45.8)
Age ≥65 years	55/83	15/98	51.0 (38.5-63.4)	51.0 (38.5-63.4)
Male	120/205	31/205	43.4 (35.1-51.8)	43.4 (35.1-51.8)
Female	7/21	3/22	19.7 (-5.0-44.4)	19.7 (-5.0-44.4)
ECOG PS 0	78/142	26/154	38.0 (27.9-48.1)	38.0 (27.9-48.1)
ECOG PS 1	48/83	8/73	46.9 (34.1-59.7)	46.9 (34.1-59.7)
Current/former smoker	112/192	31/188	41.8 (33.1-50.6)	41.8 (33.1-50.6)
Never a smoker	15/34	3/39	36.4 (17.8-55.1)	36.4 (17.8-55.1)
Squamous histology	107/179	29/175	43.2 (34.2-52.3)	43.2 (34.2-52.3)
Non-squamous histology	18/45	5/50	30.0 (13.4-46.6)	30.0 (13.4-46.6)
Disease stage II	49/93	17/93	34.4 (21.6-47.2)	34.4 (21.6-47.2)
Disease stage IIIa	77/132	17/132	45.5 (35.3-55.6)	45.5 (35.3-55.6)
PD-L1 expression <1% <sup>d</sup>	43/89	14/84	31.6 (18.6-44.7)	31.6 (18.6-44.7)
PD-L1 expression ≥1%	81/130	19/131	47.8 (37.5-58.1)	47.8 (37.5-58.1)

-10 0 10 20 30 40 50 60 70 80 90  
← Favours PBO + CT      Favours TIS + CT →

<sup>a</sup> MPR rate was defined as the proportion of pts with ≤10% residual viable tumor in the resected primary tumor and all resected lymph nodes after completion of neoadjuvant tx as assessed by BIPR in an ITT analysis set. Pts who did not receive surgical resection were considered as nonresponders in the analysis. MPR was compared between TIS + CT and PBO + CT using Cochran-Mantel-Haenszel chi-square test methodology. <sup>b</sup> Mantel-Haenszel common risk difference was estimated, along with its 95% CIs constructed by a normal approximation and Sato's variance estimator stratified by stratification factors. <sup>c</sup> In the subgroup analyses, risk difference and its 95% CI were estimated using the same method without stratification factors. <sup>d</sup> Excludes pts who were not evaluable/indeterminate. BIPR, blinded independent pathology review; ECOG PS, Eastern Cooperative Oncology Group Performance Status; ITT, intention-to-treat; MPR, major pathological response; NSCLC, non-small cell lung cancer; OR, odds ratio; PBO, placebo; PD-L1, programmed-death ligand 1; pts, patients; TIS, tislelizumab; tx, treatment.

# Results: Pathological Complete Response

- The pCR rate was significantly improved with TIS + CT versus PBO + CT ( $P < .0001$ ) in patients with resectable stage II-IIIa NSCLC



Subgroup <sup>c</sup>	TIS + CT n/N	PBO + CT n/N	Difference, % (95% CI)	Difference, % (95% CI)
Overall	92/226	13/227	35.0 (27.9-42.1)	35.0 (27.9-42.1)
Age <65 years	51/143	7/129	30.2 (21.5-39.0)	30.2 (21.5-39.0)
Age ≥65 years	41/83	6/98	43.3 (31.5-55.0)	43.3 (31.5-55.0)
Male	88/205	11/205	37.6 (30.1-45.0)	37.6 (30.1-45.0)
Female	4/21	2/22	10.0 (-10.7-30.6)	10.0 (-10.7-30.6)
ECOG PS 0	56/142	12/154	31.6 (22.6-40.7)	31.6 (22.6-40.7)
ECOG PS 1	35/83	1/73	40.8 (29.8-51.8)	40.8 (29.8-51.8)
Current/former smoker	83/192	11/188	37.4 (29.6-45.1)	37.4 (29.6-45.1)
Never a smoker	9/34	2/39	21.3 (5.0-37.7)	21.3 (5.0-37.7)
Squamous histology	74/179	11/175	35.1 (27.0-43.1)	35.1 (27.0-43.1)
Non-squamous histology	16/45	2/50	31.6 (16.6-46.6)	31.6 (16.6-46.6)
Disease stage II	36/93	5/93	33.3 (22.4-44.2)	33.3 (22.4-44.2)
Disease stage IIIa	55/132	8/132	35.6 (26.3-44.9)	35.6 (26.3-44.9)
PD-L1 expression <1% <sup>d</sup>	33/89	7/84	28.7 (17.1-40.4)	28.7 (17.1-40.4)
PD-L1 expression ≥1%	57/130	6/131	39.3 (30.0-48.5)	39.3 (30.0-48.5)

Favors PBO + CT      Favors TIS + CT

<sup>a</sup> pCR rate was defined as the proportion of pts absent of residual viable tumor in the resected primary tumor and all resected lymph nodes after completion of neoadjuvant tx as assessed by BIPR in an ITT analysis set. Pts who do not receive surgical resection were considered as nonresponders in the analysis. pCR was compared between TIS + CT and PBO + CT using Cochran-Mantel-Haenszel chi-square test methodology. <sup>b</sup> Mantel-Haenszel common risk difference was estimated, along with its 95% CIs constructed by a normal approximation and Sato's variance estimator stratified by stratification factors. <sup>c</sup> In the subgroup analyses, risk difference and its 95% CI were estimated using the same method without stratification factors. <sup>d</sup> Excludes pts who were not evaluable/indeterminate. BIPR, blinded independent pathology review; ECOG PS, Eastern Cooperative Oncology Group Performance Status; ITT, intention-to-treat; NSCLC, non-small cell lung cancer; nsq, nonsquamous; OR, odds ratio; PBO, placebo; pCR, pathological complete response; PD-L1, programmed-death ligand 1; pts, patients; sq, squamous; TIS, tislelizumab; tx, treatment.



# Safety (Neoadjuvant Phase)

- The safety profile<sup>a</sup> of TIS + CT was consistent with the known risks of each treatment and was well tolerated in patients with resectable stage II-IIIa NSCLC

Study Drug Exposure		
	TIS + CT (n=226)	PBO + CT (n=226)
<b>Median duration of treatment, weeks (range)</b>	9.6 (1.6-18.0)	9.4 (3.0-18.1)
<b>No. of cycles received, n (%)</b>		
≤2	19 (8.4)	17 (7.5)
3	129 (57.1)	118 (52.2)
4	78 (34.5)	91 (40.3)

Overall Safety Profiles		
	TIS + CT (n=226)	PBO + CT (n=226)
<b>Pts with ≥1 TEAE, n (%)</b>	224 (99.1)	225 (99.6)
<b>Grade ≥3</b>	157 (69.5)	148 (65.5)
<b>Treatment-related</b>	223 (98.7)	225 (99.6)
<b>Serious</b>	25 (11.1)	24 (10.6)
Related to TIS/PBO	11 (4.9)	7 (3.1)
<b>Leading to death</b>	3 (1.3)	0
Related to TIS/PBO	2 (0.9)	0
<b>Leading to treatment discontinuation</b>	20 (8.8)	19 (8.4)
TIS/PBO	7 (3.1)	2 (0.9)
Any component of CT	17 (7.5)	19 (8.4)
<b>Leading to dose modification</b>	70 (31.0)	69 (30.5)
TIS/PBO <sup>b</sup>	36 (15.9)	37 (16.4)
Any component of CT <sup>c</sup>	66 (29.2)	66 (29.2)

<sup>a</sup> The safety analysis set only included pts in the neoadjuvant phase. <sup>b</sup> Dose modifications for TIS/PBO included dose interruption, dose delay and infusion rate decrease. <sup>c</sup> Dose modifications for CT included dose reduction, dose interruption, dose delay and infusion rate decrease. CT, chemotherapy; PBO, placebo; pts, patients; TEAE, treatment-emergent adverse event; TIS, tislelizumab.

# Conclusions

- TIS + CT showed statistically significant and clinically meaningful improvements in MPR and pCR rates versus PBO + CT as neoadjuvant tx
- The safety profile of TIS + CT is manageable and consistent with previous reports, further supporting this treatment combination for pts with resectable stage II or IIIA NSCLC
- The RATIONALE-315 study is ongoing; a subsequent interim analysis showed significant improvement in EFS in the TIS arm (these data will be shared at a future meeting)

CT, chemotherapy; EFS, event-free survival; MPR, major pathological response; NSCLC, non-small cell lung cancer; PBO, placebo; pCR, pathological complete response; TIS, tislelizumab.

## Acknowledgements

We wish to thank all the patients and their families and caregivers.

We would like to acknowledge all of the investigators of the RATIONALE-315 study:

Lejie CAO, Chun CHEN, Qixun CHEN, Haiquan CHEN, Yuping CHEN, Jun CHEN, Fang CHEN, Ying CHENG, Jiuwei CUI, Junke FU, Guang HAN, Jian HU, Mu HU, Yunchao HUANG, Jie JIANG, Shanqing LI, Lin LI, Qiang LI, Jun LI, Yongde LIAO, Lunxu LIU, Hongxu LIU, Changhong LIU, Naiquan MAO, Tiejun REN, Yuping SUN, Lijie TAN, Min TAO, Yongsheng WANG, Changli WANG, Wenxiang WANG, Ming WU, Jun WU, Jianping XIONG, Shidong XU, Yue YANG, Fan YANG, Kunpeng YANG, Min YE, Bentong YU, Lanjun ZHANG, Peng ZHANG, Qiudi ZHANG, Lumin ZHANG, Yi ZHANG, Jian ZHAO, Guofang ZHAO, Hua ZHONG, Kunshou ZHU, Xibin ZHUANG.

Medical writing support, under the direction of the authors, was provided by Nancy Tang, PharmD, of Medical Expressions, and was funded by BeiGene, Ltd.

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