# Randomized, Phase 3 Study of Second-Line Tislelizumab vs Chemotherapy in Advanced or Metastatic Esophageal Squamous Cell Carcinoma (ESCC, RATIONALE 302) in the Overall Population and Europe/North America Subgroup

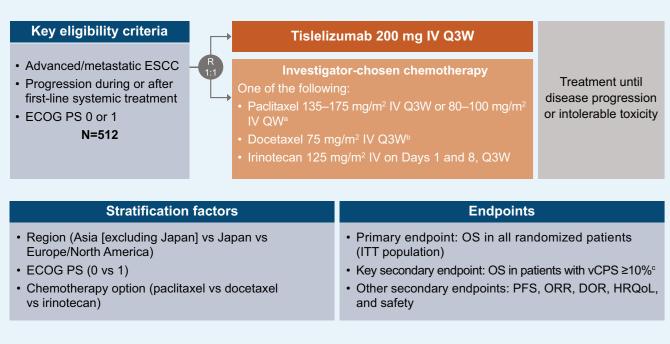
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## Background

- Advanced or metastatic esophageal squamous cell carcinoma (ESCC) has an estimated 5-year survival rate of 5%<sup>1</sup>
- Single-agent chemotherapy is recommended when ESCC progresses after first-line therapy but is associated with limited survival and poor tolerability<sup>2–6</sup>
- Second-line use of anti-PD-1/L1 monoclonal antibodies has improved overall survival (OS) vs chemotherapy<sup>3–5</sup>
- Tislelizumab has high affinity and specificity for PD-1 and was designed to minimize binding to FcyR on macrophages to limit antibody-dependent phagocytosis<sup>7</sup>
- We report data from the overall and European Union/North America (EU/NA) populations in the RATIONALE 302 study (NCT03430843) that evaluated the efficacy and safety of second-line tislelizumab in patients with advanced or metastatic ESCC<sup>8</sup>

## **Methods**

### Figure 1. Study design



ClinicalTrials.gov: NCT03430843

Assessment of tumor-response status was performed approximately every 6 weeks (± 7 days) for the first 6 months every 9 weeks (± 7 days) thereafter. <sup>a</sup>For Japan: paclitaxel 100 mg/m<sup>2</sup> IV in cycles consisting of weekly dosing for 6 weeks, followed by one week of rest; <sup>b</sup>For Japan: docetaxel 70 mg/m<sup>2</sup> IV Q3W; <sup>c</sup>PD-L1 expression centrally assessed by immunohistochemistry with the Ventana SP263 assay

DOR, duration of response; ECOG PS, Eastern Cooperative Oncology Group performance status; ESCC, esophageal squamous cell carcinoma; HR, hazard ratio; HRQoL, health-related quality of life; ITT, intent-to-treat; IV, intravenously; ORR, objective response rate; OS, overall survival; PFS, progression-free survival; QW, once weekly; Q3W, every three weeks; vCPS, visually-estimated combined positive score.

• The study required ~400 death events to achieve 82% power to detect a HR of 0.75 at 0.025 significance level (1-sided) for the primary endpoint of OS in all randomized patients (ITT analysis set)

## Results

- The data cut-off date for the final analysis was Dec 1, 2020
- A total of 512 patients were randomized to receive tislelizumab or chemotherapy; in the EU/NA subgroup 55 patients received tislelizumab and 53 patients received chemotherapy (Table 1)
- Baseline characteristics in the overall population were generally balanced:
- Median age of patients in the tislelizumab arm was 62 years vs 63 years in the chemotherapy arm
- For both treatment arms, patients were predominately male, and a higher proportion of patients had an Eastern Cooperative Oncology Group performance status (ECOG PS) of 1 and metastatic disease at baseline
- · Baseline characteristics in the EU/NA subgroup were similar to the overall population, except for numerical variations in median age, gender, ECOG PS and prior surgery for ESCC

- In the overall population, tislelizumab demonstrated statistically significant and clinically meaningful improvement in OS vs chemotherapy in patients with advanced or metastatic ESCC whose tumor progressed during or after first-line treatment
- The OS benefit of tislelizumab over chemotherapy in the overall population was consistently observed in patients from the EU/NA subgroup

- Tislelizumab represents a potential new second-line treatment option for patients with advanced or metastatic ESCC globally

### Table 1. Demographics and baseline patient characteristics

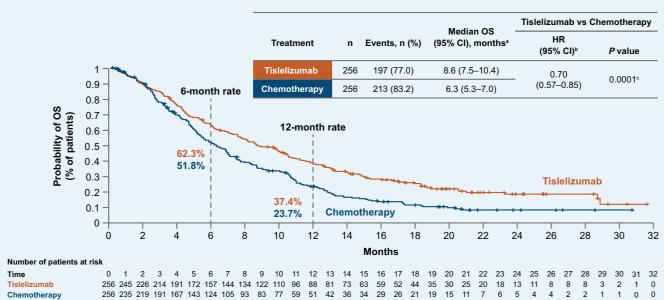
		Overall population		EU/NA subgroup		
Characteristic		Tislelizumab (n=256)	Chemotherapy (n=256)	Tislelizumab (n=55)	Chemotherapy (n=53)	
Median age (range), years		62 (40–86)	63 (35–81)	65 (41–86)	65 (35–80)	
Vlale, n (%)		217 (84.8)	215 (84.0)	37 (67.3)	36 (67.9)	
	Asia	201 (78.5)	203 (79.3)	0.0	0.0	
Region, n (%)	Europe/North America	55 (21.5)	53 (20.7)	55 (100)	53 (100)	
	Asian	201 (78.5)	207 (80.9)	0.0	4 (7.5)	
Race, n (%)	White/Caucasian	53 (20.7)	44 (17.2)	53 (96.4)	44 (83.0)	
	Black/African American	0.0	2 (0.8)	0.0	2 (3.8)	
	Other <sup>a</sup>	2 (0.8)	3 (1.2)	2 (3.6)	3 (5.7)	
ECOG PS, n (%)	0	66 (25.8)	60 (23.4)	23 (41.8)	18 (34.0)	
	1	190 (74.2)	196 (76.6)	32 (58.2)	35 (66.0)	
	vCPS ≥10%	89 (34.8)	68 (26.6)	22 (40.0)	10 (18.9)	
PD-L1 status⁵, n (%)	vCPS <10%	116 (45.3)	140 (54.7)	27 (49.1)	37 (69.8)	
	Unknown	51 (19.9)	48 (18.8)	6 (10.9)	6 (11.3)	
	Locally advanced	5 (2.0)	20 (7.8)	2 (3.6)	6 (11.3)	
Disease status at baseline, n (%)	Metastatic	251 (98.0)	236 (92.2)	53 (96.4)	47 (88.7)	
	Surgery	94 (36.7)	99 (38.7)	9 (16.4)	10 (18.9)	
rior therapies, n (%)	Radiotherapy	169 (66.0)	163 (63.7)	34 (61.8)	34 (64.2)	
	Platinum-based chemotherapy	249 (97.3)	252 (98.4)	54 (98.2)	53 (100.0)	

Data cut-off date: 01 Dec 2020. Overall population was stratified according to region, ECOG PS, and chemotherapy treatment. alncluding categories of 'not reported', 'unknown', and 'other'; PD-L1 expression centrally assessed by immunohistochemistry with the Ventana SP263 assay ECOG PS, Eastern Cooperative Oncology Group performance status; EU, European Union; NA, North America; PD-L1, programmed death ligand 1; vCPS, visually-estimated combined positive score.

### **Overall Survival**

- Tislelizumab significantly improved OS compared with chemotherapy in all randomized patients: - A 30% reduction in the risk of death (hazard ratio [HR], 0.70, 95% confidence interval [CI], 0.57-0.85, P = 0.0001), with a 2.3-month improvement in median OS in all randomized patients was observed (Figure 2)
- Benefit in OS was consistently observed in the EU/NA subgroup (**Figure 3**) - Tislelizumab was associated with a 45% reduction in the risk of death (HR, 0.55, 95% CI, 0.35-0.87) compared
- with chemotherapy
- Median OS was 11.2 months with tislelizumab, which was 4.9 months longer than chemotherapy

### Figure 2. OS: overall population Tislelizumab vs Chemotherapy Median OS n Events, n (%) (95% Cl), months<sup>a</sup> P valu (95% CI)<sup>b</sup> 197 (77.0) 8.6 (7.5-10.4 (0.57-0.85) 6.3 (5.3-7.0 6-month rate Probability or C3 - 2.0 patients) - 7.0 - 9.0 - - 0.0 - - 0.0 - - 0.0 - - 0.0 - - 0.0 - - 0.0 - - 0.0 - - 0.0 - 0.0 - - 0.0 - 0.0 - - 0.0 - 0.0 - - 0.0 - 0.0 - - 0.0 - 0.0 - - 0.0 - 0.0 - - 0.0 - 0. 12-month rate 51.8% Tislelizumab 0.2 -\*\*\*\* 0.1 -23.7% 24 26 28 30 0 2 Number of patients at risk



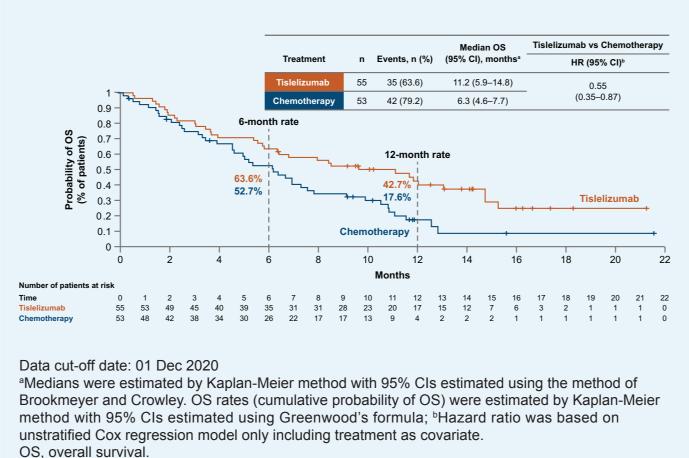
Data cut-off date: 01 Dec 2020. Overall population was stratified according to region, ECOG PS, and chemotherapy treatment <sup>a</sup>Medians were estimated by Kaplan-Meier method with 95% CIs estimated using the method of Brookmeyer and Crowley; <sup>b</sup>Hazard ratio was based on a Cox regression model; <sup>c</sup>One-sided *P* value was estimated from a stratified log rank test. ECOG PS, Eastern Cooperative Oncology Group performance status; OS, overall survival.

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### Conclusions

- Tislelizumab showed a higher and more durable antitumor response in the overall population compared with chemotherapy
- Antitumor response in the EU/NA subgroup was consistent with the overall population
- Tislelizumab demonstrated a tolerable safety profile compared with chemotherapy in the overall population
- Safety profile of tislelizumab in the EU/NA subgroup was consistent with the overall population

### Figure 3. OS: EU/NA subgroup



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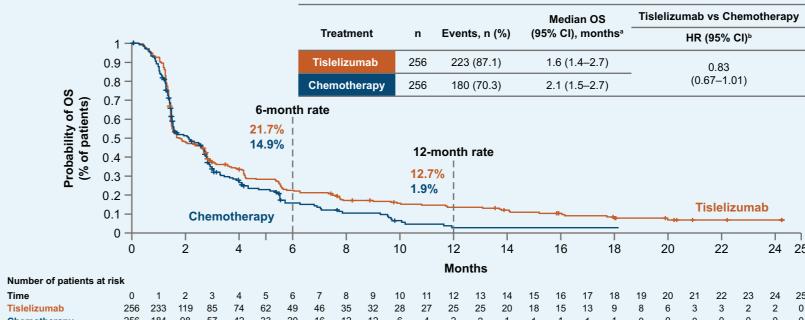
	Tislelizumab (n=256)	Chemotherapy (n=256)
ORR, n	52	25
% (95% CI) <sup>a</sup>	20.3 (15.6–25.8)	9.8 (6.4–14.1)
Odds ratio for ORR, (95% CI) <sup>b</sup>	2.4 (1.4–4.0)	
Best overall response, n (%)		
Complete response	5 (2.0)	1 (0.4)
Partial response	47 (18.4)	24 (9.4)
Stable disease	68 (26.6)	82 (32.0)
Progressive disease	116 (45.3)	86 (33.6)
DOR <sup>c</sup>	<u>`</u>	
Median (95% CI), months	7.1 (4.1–11.3)	4.0 (2.1–8.2)
Patients with ongoing response, n (%)	10 (19.2)	0 (0.0)

	Tislelizumab (n=55)	Chemotherapy (n=53)	В	1 +					
DRR, n	11	6	s) s	0.9 -	ľ	1			
% (95% CI) <sup>a</sup>	20.0 (10.4–33.0)	11.3 (4.3–23.0)	ts v ient	0.8 -		1	η.		
Odds ratio for ORR, (95% CI) <sup>b</sup>	2.0 (0.	7–5.8)	tien	0.7 - 0.6 -		L			_
ORR difference, % (95% CI)	8.7 (-4.9	9–22.3)	Probability of patients with response (% of patients)	0.5 -					
Best overall response, n (%)			ity o se (º	0.4 -		L			
Complete response	2 (3.6)	0 (0.0)	abili	0.3 -			Che	moth	16
Partial response	9 (16.4)	6 (11.3)	res	0.2 -					
Stable disease	17 (30.9)	20 (37.7)	<u>н</u>	0.1 -					
Progressive disease	23 (41.8)	16 (30.2)		0		2		4	
Not evaluable <sup>c</sup>	4 (7.3)	11 (20.8)							
DORd	· · · · · · · · · · · · · · · · · · ·		Number of patients Time	at risk 0	1	2	3	4	
Median (95% CI), months	5.1 (1.6–NE)	2.1 (1.3–6.3)	Tislelizumab Chemotherapy	11 6	11 5	9 3	7 2	7 2	
Patients with ongoing response, n (%)	4 (36.4)	0 (0.0)	onemotierapy	Ŭ	0	0	2	2	

**Progression-free survival (PFS)** • The PFS Kaplan-Meier curves for the opulation began to separate nately 3 months after zation in favor of tislelizumab zumab was associated with eduction in the risk of disease ession compared with

otherapy (**Figure 4**) J/NA subgroup, there was ingful difference in PFS between the two arms (HR, 0.97) 95% CI, 0.64–1.47)





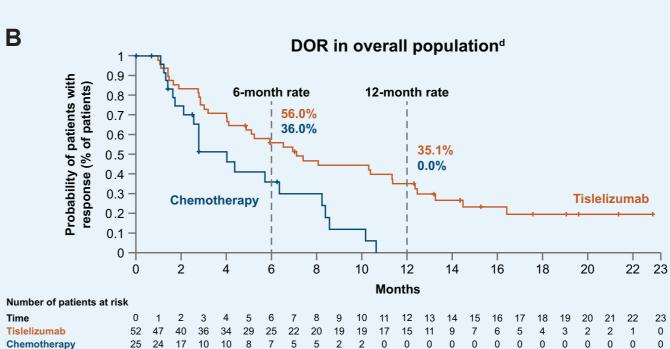
256 184 98 57 42 33 20 16 12 12 6 4 2 2 1 1 1 1 1 0 0 0 0 0 0 0 0 Data cut-off date: 01 Dec 2020. Overall population was stratified according to region, ECOG PS, and chemotherapy treatment. <sup>a</sup>Medians were estimated by Kaplan-Meier method with 95% CIs estimated using the method of Brookmeyer and Crowley; <sup>b</sup>Hazard ratio was based on a Cox regression model ECOG PS, Eastern Cooperative Oncology Group performance status; PFS, progression-free survival.

### **Response rate and duration**

• In the overall population, tislelizumab was associated with a greater objective response rate (ORR; 20.3% vs 9.8%; odds ratio, 2.4; 95% CI, 1.4-4.0) and a more durable tumor response (median duration of response [DOR], 7.1 months vs 4.0 months) than chemotherapy (**Figures 5A and 5B**)

• Tislelizumab was associated with a greater ORR and median DOR than chemotherapy in the EU/NA population as well (Figures 6A and 6B)

- The ORR was 20.0% vs 11.3% (odds ratio, 2; 95% CI, 0.7-5.8) for tislelizumab vs chemotherapy Median DOR was 5.1 months vs 2.1 months for tislelizumab vs chemotherapy



DOR in EU/NA subgroup<sup>®</sup>

6-month rate

4 5 6 7 8

42.4%

20.0%

Data cut-off date: 01 Dec 2020. Overall population was stratified according to region, ECOG PS, and chemotherapy treatment. Data are investigator assessed per RECIST v1.1. <sup>a</sup>Two-sided 95% CI was calculated using Clopper-Pearson method; <sup>b</sup>Calculated using the Cochran-Mantel-Haenszel Chi-square test; <sup>c</sup>Medians were estimated by Kaplan-Meier method with 95% CIs estimated using the method of Brookmeyer and Crowley. DOR analysis included patients with objective response (complete or partial response); dDOR rates (cumulative probability of DOR) were estimated by Kaplan-Meier method with 95% CIs estimated using Greenwood's formula. DOR, duration of response; ECOG PS, Eastern Cooperative Oncology Group performance status; ORR, objective response rate; RECIST, response evaluation criteria in solid tumors.

uding those with no post-baseline assessment or an unevaluable Crowley, DOR analysis included patients with objective response (complete or partial response); "DOR rates (cumulative probability of DOR) were estimated by Kaplan-Meier method with 95% CIs estimated using Greenwood's formula. DOR, duration of response; NE, not evaluable; ORR, objective response rate; RECIST, response evaluation criteria in solid tumors.

### Safety

- Overall, tislelizumab showed a favourable and manageable safety profile compared with chemotherapy in both the overall population and the EU/NA subgroup (**Table 2**)
- There was a lower incidence of Grade 3–5 adverse events (AEs), serious AEs, and AEs that lead to treatment discontinuation with tislelizumab vs chemotherapy
- Treatment-emergent AEs that lead to death were low in both arms
- The most common treatment-related AEs had lower incidence in the tislelizumab vs the chemotherapy arm
- The safety profile of tislelizumab was generally similar
- between the overall population and the EU/NA subgroup

	Overall	population	EU/NA subgroup				
Characteristic	Tislelizumab (n=255)	Chemotherapy (n=240)	Tislelizumab (n=54)	Chemotherapy (n=49)			
Patients with >1 TEAE	244 (95.7)	236 (98.3)	52 (96.3)	47 (95.9)			
Grade 3–5	118 (46.3)	163 (67.9)	30 (55.6)	35 (71.4)			
Serious AEs	105 (41.2)	105 (43.8)	21 (38.9)	23 (46.9)			
Leading to death <sup>a</sup>	14 (5.5)	14 (5.8)	3 (5.6)	5 (10.2)			
Leading to treatment discontinuation	49 (19.2)	64 (26.7)	8 (14.8)	15 (30.6)			
Most common (incidence ≥20%) TRAEs							
Anemia	28 (11.0)	83 (34.6)	2 (3.7)	13 (26.5)			
Decreased appetite	16 (6.3)	75 (31.3)	5 (9.3)	12 (24.5)			
Diarrhea	14 (5.5)	66 (27.5)	7 (13.0)	16 (32.7)			
Nausea	7 (2.7)	66 (27.5)	3 (5.6)	12 (24.5)			
White blood cell count decreased	5 (2.0)	98 (40.8)	0	2 (4.1)			
Neutrophil count	3 (1.2)	94 (39.2)	0	5 (10.2)			

decreased Data cut-off date: 01 Dec 2020. Overall population was stratified according to region, ECOG performance score, and chemotherapy treatment; <sup>a</sup>Death events due to disease progression were excluded. All AEs are treatment-emergent and graded based on National Cancer Institute-Common Terminology Criteria for Adverse Events (version 4.03); TRAEs include TEAEs that were considered by the investigator to be related to study drug or TEAEs with a missing causality. AE, adverse event; ECOG, Eastern Cooperative Oncology Group; TEAE, treatment-emergent adverse event; TRAE, treatment-related adverse event.

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### Disclosures

PT-P: Consulting or advisory role for AstraZeneca, Astellas, BMS, Daiichi, Eli Lilly, Merck Serono, MSD, Novartis, Pfizer, Roche, and Servier; Research funding from Merck Serono. JA: Advisory role for BeiGene.

DC: Research funding from 4SC, Bayer, Celgene, Clovis, Eli Lilly, MedImmune/AstraZeneca, and Roche.

MA: Consulting or advisory role for BMS, Eli Lilly, MSD, and Servier; Received honoraria from Amgen, BMS, Eli Lilly, MSD, Roche, and Servier; Received travel funding from Amgen, Eli Lilly, and Roche. **GVS:** Consulting or advisory role for AstraZeneca, BeiGene, and Eli Lilly; Received research funding from Eli Lilly and MSD; Received honoraria from AstraZeneca, Eli Lilly, J&J, MSD, Pfizer, Roche, and Takeda; Received travel funding from Bayer.

MVCE: Consulting or advisory role for Amgen, BMS, Merck, MSD, and Servier; Received research funding from Merck and Roche. LS: Advisory role for BeiGene.

KK: Consulting or advisory role for BeiGene, BMS, and MSD; Received research funding from AstraZeneca, Bayer, BeiGene, BMS, Chugai, MSD, Oncolys Biopharma, ONO, and Shionogi; Received honoraria from BMS, Eli Lilly, ONO, and Taiho.

S-BK: Consulting or advisory role for AstraZeneca, Dae Hwa Pharmaceutical Co. Ltd, Daiichi-Sankyo, Eli Lilly, ISU Abxis, and Novartis; Received research funding from DongKook Pharm Co., Novartis, and Sanofi-Aventis; Served in a leadership role for ESMO Breast 2021; Owns stock in Genopeaks and NeogeneTC.

### **WY:** Employment and stock with BeiGene AT: Employment with BeiGene

AVC: Consulting or advisory role with Array BioPharma, Astellas Pharma, AstraZeneca, Bayer Biocartis, BMS, Celgene, Daiichi Sankyo, Eli Lilly, GSK, Halozyme, Incyte, Merck KGaA, MSD, Novartis, Pierre Fabre, Roche, Servier, Sirtex Medical, and Taiho; Received research funding from Amgen, Bayer, BMS, Boehringer Ingelheim, Celgene, Eli Lilly, Ipsen, Merck, Merck KGaA, Novartis, Roche, and Servier. FEH, SD: Nothing to disclose

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### Acknowledgements

We thank the investigators, site support staff, and especially the patients and their caregivers for participating in this study. This study was sponsored by BeiGene. Editorial support was provided by Medical Expressions and funded by BeiGene.

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Tislelizumab

12-month rate

28.3%

0.0%

14 15 

